THE ELLIOT LAKE INQUIRY LA COMMISSION D'ENQUÊTE SUR ELLIOT LAKE

Held at the Ernst Young Center Room A, Ottawa, Ontario on Tuesday, November 19, 2013

Tenu au Centre Ernst Young, Salle A, Ottawa, Ontario Le mardi, 19 novembre, 2013

ROUNDTABLE 2 – IMPROVED SHARING OF REPORTS AND INFORMATION

BEFORE /DEVANT : The Honorable/l'honorable P.R. Bélanger, Commissioner/Commissaire

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APPEARANCES:

P. K. Doody)

Roundtable Mediator

PARTICIPANTS:

R. Froebelius) BOMA

S. Huxley) Association of Ontario Municipalities

V. Wharton-Szatan) Ministry of Labour

R. Stein) Insurance Bureau of Canada

A. Shaw) Ontario Buildings Officials Association

B. Lewis) Ministry of Municipal Affairs and Housing

A. Borooah) City of Toronto

L. Braithwaite) Build Toronto

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1	Upon commencing in Ottawa, Ontario, on Tuesday,
2	November 19, 2013 at 9:00 a.m.
3	THE COMMISSIONER: Welcome, Ladies and
4	Gentlemen.
5	Good morning and welcome all of you
6	again this morning.
7	Welcome particularly to our new
8	participants to this, the second of our Roundtables on
9	"Improved Sharing of Reports and Information."
LO	I repeat this morning what I said
L1	yesterday, that by being here you give up your valuable
L2	time and in doing so and sharing your expertise with
L3	us, you perform an important service to the people of
L 4	the Province of Ontario.
L5	I and most members of this Commission
L 6	are not experts on the topics that we will be
L7	discussing today.
L8	We rely very much on your expertise,
L 9	your experience, your wisdom in order to craft the most
20	important part of our mandate, and that is the
21	Recommendations that we hope will make the citizens of
22	Ontario safer in their work and in their everyday
23	environment.
24	We cannot change the past, but

hopefully we can make some small changes to ensure that

safety recommendations will not be made in the abstract and we fully realize that they need to be realistic, they need to be practical, they need to be effective and they need to be implementable.

We thank you for giving us the opportunity to attempt doing that in a professional and in a competent manner.

That being said, I turn Proceedings over this morning, again, to Mr. Doody, our Moderator.

Thank you for being here.

MR. DOODY: Good morning.

For those of you who were here yesterday, you will have to hear a couple of things for the second time and hear introductions read out again.

The goal today is to allow as broad a discussion as possible on the subjects that we have asked you to comment on.

My role is simply to ensure that
everybody has an opportunity to be heard on these
issues and to ensure that what input you have is
measured to some extent against the evidence that we
have heard in Elliot Lake, because these
Recommendations that the Commissioner has been asked to
make arise out of the evidence that he has heard over
the last eight or nine months in Elliot Lake.

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Really, that is the purpose of a

Commission of Inquiry like this, is to determine what
the facts are and then measure against those facts, the
public policy issues that the Commissioner has been
asked to consider, and use those facts as the basis to
test whether or not there ought to be any changes to
the public policy.

So my role is to ensure that there is
as broad a discussion of those issues as possible.

I would like to introduce the people who are sitting around the table, beginning at my upper left-hand and then going around in clockwise order.

Mr. Alan Shaw has been Manager of Building and By-law Enforcement for the City of Sarnia since 2008, where he is the Chief Building Official.

Prior to that he was the Chief
Building Official for the Municipality of Central Elgin
and the Municipality of Sault Lookout.

He has a diploma in Architectural Technology from Sheridan College in 1992.

He has been Regional Director and Vice President of the Ontario Building Officials Association since 2007; and was Chapter Chair of the OBOA Southwest Chapter in 2006-2007 and Chapter Vice Chair of the OBOA Sunset Chapter from 2002 to 2003.

1	Sitting to Mr. Shaw's left is Stuart
2	Huxley, who appears today on behalf of the Association
3	of Ontario Municipalities.
4	Mr. Huxley is Senior Legal Counsel
5	with the City of Ottawa.
6	He was called to the Ontario Bar in
7	2000.
8	He has worked exclusively as in-house
9	counsel with the City of Ottawa, the City Clerk and the
10	Solicitor's Department since articling with the City in
11	1998.
12	Mr. Huxley leads the City's
13	Prosecution unit and has extensive prosecution
14	experience with regulator matters, including the
15	Building code, Fire code, Planning Act and various
16	municipal by-laws before the Ontario Court of Justice.
17	Mr. Huxley also practices municipal
18	law and litigation before the Superior Court of Justice
19	and has represented the municipality on significant
20	matters before coroner's inquests, the Divisional
21	Court, the Court of Appeal for Ontario and the Supreme
22	Court of Canada.
23	To Mr. Huxley's left is Ann Borooah,
24	who is the Executive Director of the City of Toronto,

and Chief Building Official for the City of Toronto

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since November 2001.

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She oversees a staff of over 400 responsible for enforcing the $Building\ Code\ Act$ in Toronto.

And I pause here as I did yesterday and contrast this with the City of Elliot Lake, which has one person who is responsible for enforcing the Building Code Act, the Property Standards By-law and indeed all other by-laws in the City of Elliot Lake.

I shouldn't say one person. One person who oversees, I think, two others. So I think it is a total of three.

And that variance between large municipalities and small municipalities is very much at the heart of many of the issues that we are discussing today.

Ms. Borooah has overseen the transition to a new Building Code Act and led key initiatives, including a program review of the inspection and enforcement, the implementation of Toronto Standards for Green Roof Construction and a new sign regulation and taxation system for the city.

Prior to joining Toronto, Ann held the position of Director of the Development and Buildings

Branch in the Ministry of Municipal Affairs for the

Province of Ontario, since 1993.

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In this position she was responsible for the introduction of the 1997 edition of the Building Code, the transfer of septic system regulation to the Building Code from environmental legislation and the introduction of Bill 124, a comprehensive review of the Building Code Act and related legislation, amongst other things.

She studied architecture at the University of Waterloo, holds a Bachelor of Arts and Urban Studies in Geography, and a Masters of Science in Urban and Regional Planning from the University of Toronto.

She is a registered professional planner and a member of the OBOA.

Moving around the corner, Ryan Stein works at the Insurance Bureau of Canada, the National Industry Association representing Canada's private home, car and business insurers.

He is the Director of Policy there and he works with insurance companies to develop solutions to the various legislative and regulatory issues they are facing.

Mr. Stein holds a Bachelor of International Business and a Masters of Arts and

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1	International Affairs from Carleton University.
2	To Mr. Stein's left, Brenda Lewis is
3	Director of the Building and Development Branch of the
4	Ontario Ministry of Municipal Affairs and Housing.
5	She joined the branch in January of
6	2012.
7	She has been with the Ontario Public
8	Service for over 30 years and has held several
9	positions in the Federal and Provincial Governments.
10	Prior to that she held a number of
11	positions in both policy and operations on programs
12	such as the Homelessness Programs, Adoption
13	Information, Disclosure Services, Ontario Disability
14	Support Program, Ontario Works, Deaf Blind Services,
15	Family Benefits, General Welfare Assistance, Finance
16	and Administration and Human Resources.
17	To Ms. Lewis' left is J. Lorne
18	Braithwaite.
19	Mr. Braithwaite is the President and
20	CEO of Build Toronto.
21	He has been an active international
22	commercial developer in California, London, England and
23	Dubai.
24	He was appointed President and CEO of

Build Toronto in April 2009 and brings his in-depth

industry knowledge to lead the organization in creating value for the City of Toronto through real estate and helping redefine the City building.

Prior to joining Build Toronto, he was Founder, Chairman, President and CEO of Cambridge Shopping Centres, now Ivanhoe Cambridge, where he amassed 40 large, enclosed malls after leading the leveraged management buyout in 1980.

Internationally, Mr. Braithwaite served as the Worldwide Chairman of the International Council of Shopping Centres from 1995 to 1996.

He was President of the Canadian

Institute of Public and Private Real Estate companies

from 1995 to 1997.

He holds a Bachelor of Commerce from the University of Alberta and a Master of Business Administration from the University of Western Ontario.

Turning the corner, Vivien Wharton-Szatan has been Program Manager of the Ministry of Labour's Industrial Health and Safety Program in the Mississauga office since April 2008.

She is responsible for a team of inspectors who enforce the *Ontario Health and Safety Act*, and applicable regulations as they apply to industrial workplaces that are regulated by the

industrial program.

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She has held a variety of positions with the Ministry of Labour and between 1991 and 2005 she was an Occupational Health and Safety Officer in Toronto, responsible for enforcing the Occupational Health and Safety Act and its respective regulations by conducting proactive investigations, investigating complaints, critical injuries, fatalities and prosecuting employers when appropriate.

She has a certificate in Occupational Health and Safety from Ryerson Polytechnical Institute and an Honours Bachelor of Science from the University of Toronto with a double specialization in chemistry and biochemistry.

And to her left is Randal Froebelius.

Mr. Froebelius is Secretary Treasurer of the Building Owners and Manager's Association, usually referred to by its acronym, "BOMA".

He is also President and Founder of Equity ICI Real Estate Services.

He has over 17 years of experience in the management, development and construction of industrial, commercial office, retail, institutional and residential properties.

He holds a Bachelor of Engineering

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Science degree from the University of Western Ontario and a Masters in Business Administration from the Richard Ivey School of Business.

He is a licensed professional engineer in the Province of Ontario.

So those are the short bios of the members of the panel.

I would like to begin by having a discussion of the first question, which is, and it is a bit of a long one, so bear with me: "Should the Owner of a building be required to keep a secure record of and provide the information relating to the condition of a building (all private, as well as public, documents and information dealing with the condition of those buildings over their lifetime, including information on the nature and extent of the services provided by an engineer and an architect, the name, identity and contact information of those professionals and any remedial actions taken as a result of inspections) a. to any purchaser or other person seeking to acquire an interest in the building, financial or otherwise; b) to any person or agency conducting or supervising any inspection, assessment, repair or renovation of a building before any such inspection, assessment, repair or renovation begins; c)

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to the municipality whenever a building permit is required for repairs and renovations; d) to a provincial agency."

This question arose in the context of the evidence that the Commission heard in Elliot Lake, the background is that there is, at present, no obligation on an owner to keep any records, that is no legal obligation to keep any records in respect of the maintenance or repair of a building.

And the evidence is that engineering reports, which had been prepared on a number of occasions, starting the building was built in 1979, there were significant engineering reports between 1990 and 1995, again when the building was sold for the first time in 1999.

And then after its sale to the present owner, there were engineering reports obtained through the course of his ownership.

But none of these engineering reports were provided to subsequent purchasers.

There is conflicting evidence as to whether they were asked for, but the evidence, at least on one view of it, and again it is not entirely consistent, but on one view of it the evidence is that none of these reports were provided to perspective

purchasers.

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The engineers who were asked to perform analysis of the structural capacity of the building were not provided with -- and this is clear -- they were not provided with prior engineering reports.

The City was not provided with these reports, at least at the time that they were prepared.

And the evidence from a number of witnesses is that if the reports had been provided to them, it would have made a difference.

The City Chief Building Officials, at least the first two of three Chief Building Officials, testified that had they known about these engineering reports, the City would have taken action differently than they did.

And a number of the engineers testified that had they had the earlier engineering reports, it would have affected the reports that they provided and the advice that they gave.

So the question is should an owner be required to keep such records and reports, and provide them in the circumstances we have set out in the question.

So I wonder if we could start with Mr. Stein from the Insurance Bureau of Canada?

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I just pick these names out of the air.

MR. STEIN: I am happy to start this off, thank you.

What we have tried to point out in our submission is that there could be some unintended consequences associated with requiring property owners to give the inspection reports to owners or to the government.

We understand that there are some reports, whether they are for building permits or construction that those reports are shared publicly with the municipality and could be available through Municipal Freedom of Information and Protection of Privacy Acts.

But with respect to the private inspection reports, the ones that an owner will get just for the regular maintenance, they will get on their own just to see how to assess any issues with the building.

We think that if there is a requirement to turn those over, that some owners may be less likely to get them done; or that if there is a risk that that report could portray that there could be a diminished value of a property or could lead to that,

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then there is an added risk on the person doing the inspection and an added liability put onto them, which could increase the costs, the complexity and that type of thing.

One of the other things we wanted to point out in our submission is it depends on the type of report.

So for example, insurance reports which are more transactional type of reports for the insurance companies will conduct sometimes before issuing insurance contract to assess the risk. They will look at the occupancy, they will look at the physical hazard, that type of thing.

If those reports, which are not really structural engineering reports, if they have to be turned over it will also increase the complexity of those reports.

If there is any chance that they could be misinterpreted then what they are, which is an analysis between two contracting parties, then those unintended consequences could increase the complexity and the costs of those types of reports.

MR. DOODY: Why does the IBC feel that if reports were going to be provided to these people that it would discourage them getting there

1 reports?

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We heard yesterday, and there was evidence, that it's normal in the real estate, commercial purchase of buildings for the purchaser to ask for production of any and all engineering reports in the possession of the vendor, and those would include reports which were provided for general maintenance purposes.

So right now there is a significant potential for these reports being provided to subsequent purchasers.

And we also heard that a responsible owner will get these reports anyway, because a responsible owner wants to ensure that his building is maintained, both for public safety purposes, but also to protect his investment.

So why would the certainty of disclosure discourage getting these reports when right now there is a significant possibility of subsequent disclosure?

MR. STEIN: That is something else we pointed out in our submission.

That these reports are available in a lot of cases, the purchaser is in a position to request the reports before deciding whether to buy the

property.

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We are just of the view that the force of you have to, you have to give it to the purchaser, you have to give it to the government, you have to give it to a municipal agency, all that is different than having to provide the report as part of a contractual transaction.

What we are trying to do is point out a potential risk, and we have seen as part of the private property and casualty insurance industry is heavily regulated and there is costs and benefits associated with the regulation and what we try to encourage is to try to find a balance between encouraging compliance and the costs associated with it, and trying to look at any unintended consequences.

We are just of the view that one of the potential ones that we wanted to point out to the Commission when it considers this recommendation is that there is the potential of if you have to turn it over to all these different parties where you might have only thought that you were going to be turning it over to, say, a future purchaser or that type of thing, it could discourage some from getting reports done.

We also think that it will increase the complexity of the reports because there is the list

1 that it could lead to unproven diminished value. 2 MR. DOODY: The question is being mooted because, as I said, of the evidence from the 3 engineers and from the City that had they known about 4 5 these things, steps would have been taken and the mall would have been made safer. 6 7 So speaking only for myself, I was 8 surprised that the insurers were not in favour of this 9 because if the evidence that we have heard is accurate, 10 the result would have been a safer building. 11 So right now in Elliot Lake there are, 12 without a doubt, we don't have any evidence about it, 13 but I have been practicing litigation law for a long 14 time, I am sure that there are significant claims being 15 made on insurers as a result of both the liability 16 issues that have arisen and the property damage issues. 17 So if these reports had been disclosed on the evidence, it would have made a difference. 18 19 The cost to the insurers would have 2.0 been less. 21 I am just at a loss to understand why 22 the insurers would not have been in favour of that. But if you have told us everything you 23 24 can ---25 We are trying to just MR. STEIN:

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point out a potential unintended consequence or costs associated with that recommendation.

From the insurance perspective, when they are assessing risk they are looking at a whole bunch of different factors.

I would say one of the biggest ones is the location and weather and that type of thing.

They will look at the occupancy, you know, depending on the size of the risk they will inspect the building, but you know, from their perspective there is just not the structural integrity --

What happened in Elliot Lake from an insurance perspective is not indicative of, we think, the state of buildings in Ontario.

MR. DOODY: Mr. Froebelius, were you
putting your hand up?

MR. FROEBELIUS: I think one of the concerns is that if you engage an engineering firm to do a report on behalf of -- if I am an owner and I engage an engineering firm to do a report on my behalf, and that engineering firm then is aware that that report will be relied upon by future potential purchasers of the property, you could end up with a different report than if you had just engaged that

engineering firm to do a report for you to address a certain issue.

I think what you would end up doing, if you create this mandatory disclosure environment, is that engineers would start to retract, potentially, and be very, very cautious about what they do and do not put in those types of reports, which could create an environment where owners are hesitant to create those reports.

So you could benefit far more by keeping it kind of an open environment, where a report is to target a certain issue, but there is no obligation in the future to disclose that.

I think we will get into this later, but maybe there is a line where if in fact it is to do with something that affects public safety, then it might be a different aspect, but for example let's say there was a roofing issue and I had a report done ten years ago and the roof was replaced, everything was fine.

Is that report still going to be subject to disclosure for a future sale?

Because someone doing a purchase pulls out that report and the owners might fear that that report could be used against them in the negotiation of

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1	the sale of the property.
2	MR. DOODY: But your organization's
3	submission to us said that the present obligation on a
4	purchaser to exercise due diligence is enough.
5	That opportunity to exercise due
6	diligence on the part of a purchaser arises from
7	typically a contractual obligation in the agreement of
8	purchase and sale.
9	The boilerplate language says the
10	vendor will produce any and all reports that have
11	anything to do with the building.
12	And then the lawyer for the purchaser
13	says 'produce the reports', and they are produced.
14	So there is already an obligation,
15	typically a contractual obligation on the vendor to
16	keep and produce these reports.
17	But the thing is they are only given
18	to the subsequent purchaser.
19	And if as happened in Elliot Lake for
20	whatever reason that is not either demanded or complied
21	with as part of the sale, there is an opportunity for
22	reports to be kept secret.
23	But when the engineers do these
24	reports, they realize that there is a significant

likelihood that they are going to be produced, at least

1	to subsequent purchasers.
2	MR. FROEBELIUS: I don't know that
3	all transactions would state that any previous reports
4	have to be disclosed.
5	MR. DOODY: It depends on the
6	contractual issue, but it is not uncommon.
7	So when an engineer is doing the
8	report, he does not know what the owner is going to do
9	with the report, but the owner is at liberty to give it
10	to whoever he wants.
11	MR. FROEBELIUS: In fact, in many
12	transactions as well, the current owner will engage
13	engineers to do a current assessment that they can
14	offer as part of an offering package.
15	MR. DOODY: That's right.
16	And the engineers have told us that
17	then the do that, they would sure like to see the old
18	reports.
19	So we are just at a loss to understand
20	how the public safety is protected by keeping these
21	reports secret.
22	The Ontario Association of Architects
23	has suggested that these sorts of and they are not
24	at the table, but they took the opportunity to comment

on these issues -- and they took the position that the

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1 reports ought to be filed with the City. 2 The OBOA has a slightly different take on it. 3 Can you speak to that, Mr. Shaw? 4 5 The OBOA believes that the MR. SHAW: responsibility for mandated inspection should remain 6 7 with the owner. 8 And in the event where an unsafe 9 condition arises during one of these mandatory 10 inspections, that that report would be forwarded to the chief building official. 11 12 Most municipalities do not have the 13 ability to undertake review of every document coming in 14 in regards to every building within their organized 15 area. 16 We would just encourage legislative 17 changes to identify who qualified individuals are in 18 regards to conducting these inspections, develop a 19 scope and standard of report through the associations 2.0 of these professionals. 21 And with that, the possibility of 22 amending the Acts so that these professionals, in the 23 case of a perceived unsafe condition for the

information to the chief building official.

MR. DOODY:

And in your submission

today you said "a perceived unsafe condition."

The reports that we have seen that were not provided don't say that at the time they were prepared that the building was unsafe.

But many of them say if you don't deal with it appropriately in the set-out options, there will be structural issues arising, some of them said soon.

Would the OBOA go so far as to say that a report which pointed out the potential for structural issues, because this -- and we had some discussion about this yesterday, but on the present language of the *Building Code Act* there are remedies which can be ordered by a building official, where there is evidence of present safety issues and the imminent danger.

But that, on the evidence we have heard, would not catch the kind of situation that was in Elliot Lake.

So would the OBOA go so far as to say if there was a report that showed that there was a potential for structural safety issues, it ought to be provided.

MR. SHAW: I would tend to agree with that, where there is a perceived or potential, it

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should be forwarded to the chief building official and it is a responsibility, it is the OBOA's position that it is the responsibility of the chief building official to determine if an unsafe condition under the Act exists.

So I would agree with that.

MR. DOODY: Ms. Borooah from the City of Toronto, can you let us know what the City of Toronto's view is on these issues?

MS. BOROOAH: Well, similarly I think to the position of the OBOA municipalities in our view or a chief building official should not be repositories for information about routine maintenance where the municipality does not have a role.

That sort of record keeping I think would be virtually impossible and also would draw a municipality into a situation where they may not otherwise be involved and could, I quess, change the risk picture in a slightly different way from the earlier example, where should these reports be filed and buried within some kind of page, if a municipality didn't find a paragraph, place some obligation in the future.

So in our view, as we discussed yesterday, the responsibility for the routine and other

maintenance of the building rests with the owner and that there is a role for professionals in that process.

We did suggest that although it would not be completely comprehensive, we should look at the standards in the professional acts with respect to record keeping.

And to the extent that professionals have been involved in reports they would be obliged to share those reports, the owners would have an obligation, I think, to disclose if there was such a report in a transaction and if the reports — the professionals would also know to ask the question, I think, according to a professional practice standard.

So yes, not all engineers or architects are there forever, but within a time frame when the report may matter, the odds of those reports being available are high, we would think.

MR. DOODY: Yesterday and today you and the other representatives from municipalities have talked about the potential for municipal liability here as a driving force behind limiting municipal involvement.

And what the evidence that the Commission heard, which drove this request, was the concern that right now the system relies upon a bit of

1	a hodge-podge of attempts to ensure the information
2	gets to the right place.
3	You suggest that professionals,
4	engineers and architects ought to keep the records.
5	The owners ought to be obliged to tell
6	a subsequent purchaser of any reports that were
7	provided.
8	Those things did not that and the
9	latter did not happen here.
10	So subsequent purchasers and
11	subsequent engineers did not even know about the
12	earlier reports.
13	So is the driving force behind the
14	concern about documents being registered with the
15	municipality a liability issue?
16	MS. BOROOAH: No, I think it's two-
17	fold.
18	It is practical and yes, the municipal
19	role and liability is an issue to take into
20	consideration, less so with this piece of it than
21	perhaps others.
22	But I think what we said in the
23	context of responding to these questions is they have
24	to be read together with the previous recommendations,

that there be a periodic review required of elements

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that seem to create a potential risk for public safety and those reports be filed with the municipality only when the need for remedial action is identified.

So you are narrowing the scope of where the regulation intersects with government in that case.

However, that also can be read with the role of professionals.

And I think if you read the PO submission, although it is not on this Roundtable, they talk about their practice standards as well more thoroughly, I think, than you see in the context of the architects submission.

But in that case they talk about when they would have an obligation to disclose as well.

So the things would run in parallel, I

And yesterday I talked about the three-legged stool, that you don't want to shift the balance on the three-legged stool that you want to improve the performance of all players or increase the role of all players, but only to the degree necessary.

I would recommend that you don't sort of use the municipality as a fall back where the municipality does not have a direct role.

1	THE COMMISSIONER: Can you not
2	protect legislatively the liability that you speak of?
3	And the other concern I have, and that
4	came out of our Elliot Lake experience involved the
5	preservation of records.
6	MS. BOROOAH: I think that gets into
7	some of the additional questions.
8	But I think the sort of practice of
9	preserving records is improving with legislation and
10	time.
11	Some of the records that were relevant
12	in Elliot Lake at the time, at a time when the sort of
13	laws around record keeping were less formed.
14	Maybe Mr. Huxley has a better sense of
15	that than I do.
16	But there certainly are obligations on
17	municipalities to keep records.
18	And I think the practice is
19	increasingly common that those records are available
20	for a longer period of time, partly because they can be
21	retained electronically, and they are easier to
22	retrieve.
23	So while we are not quite there yet, I
24	think you should not duplicate that system.
25	You may want to tweak it, but that

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system is in place and it is associated with laws that govern all the records of the municipality, not just building records.

MR. DOODY: Yes.

But what you are talking about is the obligation on the municipality to keep its record, typically arising as a result of things like MFIPPA.

But the reports that we are talking about here, if there is no obligation to give them to the municipality there would be nothing to keep, right?

MS. BOROOAH: Yes, but as I said, you should not expect a municipality to keep a record when they don't have a direct role in the issue.

So simply to file the report with a municipality where they are taking no action does not make sense to me.

If a permit is required or if a remedial action is required that will require an action on the municipality; that is when it makes sense for the municipality to keep those records.

Municipalities are not record keepers of everyone's records.

MR. DOODY: No, I understand that.

But as I say, the evidence in this case was that if the municipality had seen the records

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protection.

going back, even the records that did not say this is a
serious situation that needs to be fixed, it would have
given them a broader base of knowledge to be able to
make an order that did protect the safety.
In other words, to put it simply, if
the reports had all been provided, they would have
known that the building leaked from 1978, even before
it was opened, and the leakage had continued for 30
some years.
And that, in the words of some of the
professionals who testified, was shocking.
And if the reports had been provided
on a regular basis, that history would have been
apparent and the public would have been protected.
MS. BOROOAH: So yesterday we talked
about the circumstances when reports should be
forwarded to the municipality.
MR. DOODY: That was about specific
reports of periodic inspection, as required.
MS. BOROOAH: Based on the idea of a
periodic inspection, which would cover what we think
the risk picture should be.
So that would provide additional

It is not currently in place today.

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Having said that, to think that even the City of Toronto would not come close to having the capacity to be able to review and document and analyse and think about every report that has ever been created for a building owner.

I think that is not realistic.

And only in cases where an action is required, that is to address a potential and safe condition I think would that engage the municipality.

Having said that, that if a municipality had reason to believe and wanted more history on the property, perhaps you might want to enhance, like we discussed yesterday, the powers under section 18 to request reports you could, in that order, request all history if you thought it was necessary.

THE COMMISSIONER: You seem to be saying that these reviews have to be contemporaneous.

MS. BOROOAH: I am sorry?

THE COMMISSIONER: You seem to be saying that the reviews of the file documentation has to be contemporaneous.

What is the problem with simply receiving the material and then inventorying it, leaving it there, possibly never to be used again, but at least to be there?

1	It is certainly not with the modern
2	technology a huge cost issue, is it?
3	MS. BOROOAH: Yes it is. I beg to
4	differ, Sir.
5	THE COMMISSIONER: I don't know why.
6	You tell me.
7	MS. BOROOAH: Let me just give you a
8	bit of context.
9	Currently building records are,
10	certainly in our experience and I would venture,
11	probably consistent across local municipalities, not
12	upper tiers.
13	The largest records that
14	municipalities are obliged to retain today.
15	And I have a huge project to try to
16	digitize as much of that information as I can and it is
17	a flagship for the organization, but it is not for the
18	faint of heart.
19	These are not small records,
20	typically.
21	THE COMMISSIONER: Is it because you
22	are digitizing retroactively that it is such a huge
23	problem?
24	MS. BOROOAH: We are moving
25	backwards. But going forward, these records are being

I	
1	created digitally. They come in digitally and so on.
2	So in the future this will be easier,
3	but having said that, whatever space you are talking
4	about, it is either a virtual space or physical space.
5	They are large records associated with
6	buildings and adding all of the potential maintenance
7	records for any building at any time, not just the one
8	percent or two percent that comes forward for permits
9	in any given year, would be a huge record keeping
LO	system.
L1	My record keeping system is already a
L2	challenge.
L3	I do not think it is a no-brainer.
L4	MR. DOODY: Mr. Braithwaite, can you
L5	from your experience, background in real estate
L 6	development and management, can you give us your views?
L7	MR. BRAITHWAITE: Yes, thank you.
L8	I start out my comments from a
L 9	slightly different perspective.
20	There is three periods of time in a
21	given project where things happen and you need records
22	and information and knowledge and there are a number of
23	people that potentially plug into that array of
24	knowledge.
25	Obviously when you build a project is

1 a key point in time.

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If you are doing a significant renovation, there is another key point in time.

And if you sell it.

And often, historical records from the perspective of an owner, and that is where I am coming from, is good information but not necessarily good enough, depending on the timeline in terms of when you might be acquiring the building.

You may have a report that is 20 years old that at that stage is irrelevant.

You are actually going to spend the time and money as part of your due diligence and get up to date, knowledge and information on structural and other issues, environmental and so on, and you are going to, as a potential seller or a buyer, someone in the private sector is going to pay for that information.

That information, that is a good period of time for the public sector to plug in and piggy-back on that information, because it is going to be there in those three scenarios.

In between those three points in time I don't think that the public sector need or should get involved and I think it is expensive and difficult for

1 them, and I am not sure it adds a lot to the process.

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MR. DOODY: In the scenario where a building was not sold, so if this building had been built in 1979, had never been sold and never been renovated, in fact, it had never been significantly renovated, there were issues we discussed yesterday about whether the repairs that were done were significant enough to require a building permit, there is dispute about that.

But if it was never renovated and never sold, on your scenario the owner would be able to keep the information to himself and the opportunity for the public to be protected seems to be absent.

From the evidence we have heard there seems to be a public interest which requires consideration in these sorts of things.

We heard Ms. Lewis and others talk about the necessity to consult stakeholders before any changes are made.

But one of the stakeholders who is often not at the table in these sorts of discussions is the public and the interest of public safety.

So what we are interested in is, on your proposal, that there be no obligation except in those sales or renovation situations to share.

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saw come to fruition?

1 Where does the public interest get 2 protected? 3 MR. BRAITHWAITE: Well, going back to your original example, what was wrong in your original 4 5 example is in 1978 when they built it? They did not have proper and adequate 6 7 records at that point in time on structural or 8 environmental or whatever, obvious in terms of what has 9 gone on, and that information should be exposed and be 10 available to the public sector at that time. But the evidence from the 11 MR. DOODY: 12 independent engineer retained by the Ontario Police was 13 that the building met the Building Code. It may have 14 been a narrow thing, but it met the Building Code in 15 1978, 1979 when it was built. 16 So what would have been missing in the 17 scenario is the experience developed over the years of 18 the ongoing leaks and the issues that engineers who 19 were retained pointed out, which was that there are 2.0 potential structural problems which are going to be developing if you don't do a, b or c. 21 22 So if the owner is allowed to keep those documents to itself, isn't there a risk that we 23

We will never know.

1	MR. BRAITHWAITE: There is a risk.
2	But doesn't that come back that the
3	Building Code at the time was inadequate. That's what
4	it really says. We didn't have proper structural
5	reports, so they got a building permit that was flawed.
6	MR. DOODY: Does that mean that if
7	the Building Code was inadequate that there is no
8	protection going forward for members of the public who
9	are occupying a building that was built under a Code?
10	It is not that the Code was flawed, at
11	least the engineers I am sure would not say that.
12	They would say that we learned things
13	since 1978, so that we have got changes in the Code.
14	That happens all the time.
15	But going forward, that means there is
16	potential for issues with the engineers when they are
17	retained in 1989 and 1999, now they know different
18	things and they say 'well there is an issue here.'
19	So where is the
20	MR. BRAITHWAITE: Let me try and
21	answer or respond by I started out in this industry in
22	1978.
23	My first job was a property manager of
24	very large mixed use project in downtown Edmonton.

I was part of the process as it was

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being built, and I have poured through all of these in those days, which were paper drawings of structural engineering reports and everything else.

There was a tremendous amount of reliance on the Code of the day in terms of whether or not we are going to get the building permit as we build it.

We started with the building permit and then as we execute it we get it signed off.

So what worried me in a hearing of this nature is there is obviously a problem in 1978 in terms of the *Building Code*.

We are potentially looking at adding more costs to the structure and monitoring and so on, and yet the base of where you start it in my opinion is where a good portion of the problem has to lie.

So it seems to me that you have got to take a look at some of these older buildings that have timelines associated with different standards that are proving today are not adequate.

So to sort of take the entire industry and put it to a higher standard because of some other problems historically, in my opinion, it needs to be looked at very carefully.

MR. DOODY: Mr. Huxley, we have not

required to do that.

to the relevant authorities.

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1	heard from you yet.
2	MR. HUXLEY: Certainly this
3	discussion about secure record keeping dovetails with
4	yesterday's discussion on whether there should be
5	mandatory inspections.
6	Certainly AMO's position yesterday was
7	that that should be owner-based or the owner's
8	professional.
9	And there is obligations that would
10	arise from a professional having a report that
11	indicated that there was an urgent need or a need for
12	remedial action.
13	But it's AMO's position that it should
14	be the building owners who should be responsible to
15	maintain accurate and complete records of their
16	building.
17	What the municipality is seeking is to
18	have timely access to that information.
19	The record keeping as we suggested
20	yesterday was not novel, we used the example of the
21	fire inspection and testing system where owners are

And they are required to maintain that

and keep that information on site and to provide that

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So when an emergency occurs or when there is a report that suggests there is a remedial issue that has to be addressed, that should be provided to the appropriate authorities, to the building officials.

The discussion that is raised with respect to municipalities maintaining this information, I would agree with the comments from the City of Toronto in the sense that to what end does a municipality hold this information.

The concern would be, obviously, an avalanche of information that would be brought into a municipal environment and repeat the concerns of resources and also the liability considerations for that.

The onus again, from the Code is on the owner.

The owner should be responsible to be maintaining those records as they presumably are now.

With respect to the concerns about the Code that existed in 1978, obviously the codes vary from time to time as building officials learn more about buildings and building systems. The codes are advanced and are amended.

There is a new Building Code that is

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coming into force in a couple of years; that is going to reflect any changes.

But it gets back to yesterday's discussion on mandatory inspections that, notwithstanding what the Code may have been in 1978 or if a structure was built without any Code regard because of the age of it, the mandatory inspection by the owner or the owner's professional will address those issues.

And keeping a record of that, when there is an issue first responders can, when they are on scene, can access that information, as well as building officials can access that information.

And it's not only the building that is in question, you have to remember that in some of these situations it would be helpful for the building official to have access to adjacent buildings that may be affected by a particular building situation.

And having that information be able to say the neighbouring property, what is the situation, there is an imminent collapse for example, how would that impact the neighbouring property.

And having access to that information, from a neighbouring property owner, again would assist first responders and again assist building officials in

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1	how they would respond to the matter.
2	MR. DOODY: You say you expect
3	responsible building owners would be keeping these
4	records anyway and the obligation should be on the
5	owner.
6	What do you do with the irresponsible
7	building owners?
8	Because frankly, as we heard
9	yesterday, if there is a responsible building owner
10	there is unlikely to be a problem.
11	A responsible building owner will be
12	having periodic inspections and will be budgeting for
13	and performing the necessary maintenance and repair to
14	protect the investment, if nothing else.
15	And the evidence that the Commission
16	has heard suggests that, depending on the view of the
17	evidence that is taken, that not all the owners could
18	be described as responsible.
19	So is there a way to ensure that this
20	information is simply available even if an owner is
21	less than responsible, without requiring that it be
22	filed somewhere?
23	MR. HUXLEY: I think that gets back
24	to yesterday's discussion and that would be again

perhaps by operation of law, an owner is required to

I guess the question is

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1 maintain this. 2 Currently the Building Code Act does 3 not required these types of documents be maintained. But as we looked at, for example, 4 5 section 34(2) of the Building Code Act, if a regime 6 were to be set up, it would appear to be reasonable to 7 expect or to require that information to be maintained, and if it is not maintained, there would be a legal 8 9 mechanism to deal with that. 10 As we discussed yesterday, the simple 11 reality of having a standard or incorporating that type 12 of standard in the regulation may achieve compliance 13 for those property owners, certainly the Commission and 14 building officials are concerned about. 15 MR. DOODY: Ms. Borooah? 16 MS. BOROOAH: Just a small point. 17 I think your last question begged the 18 question about, and it goes to resources, what would 19 happen if the owners did not file and how would the 2.0 municipality know if they did not? 2.1 MR. DOODY: And it's not just the 22 municipality, it is other engineers and purchasers and 23

MS. BOROOAH:

if the obligation is for them to file, what is the

here.

1	mechanism to ensure they file that goes beyond an
2	obligation that they retain?
3	I think it is unreasonable to think
4	you could have some kind of enforcement mechanism to
5	make that happen.
6	It would be completely unknown
7	information to the municipality about the fact that
8	they have or have not got a report, any building owner
9	had or had not got a report.
LO	MR. DOODY: We do presently have a
L1	system whereby, as we heard yesterday, under the Fire
L2	Code legislation the owner is required to have regular
L3	inspections and be able to provide the municipality
L 4	with proof of it on demand.
L5	The threat of potential charges for
L 6	some people at the table yesterday is sufficient to
L7	assist with compliance.
L8	So I think we are actually agreeing
L 9	then?
20	MR. DOODY: I am not saying anything,
21	I am just asking questions.
22	MS. BOROOAH: We are agreeing on a
23	point.
24	MR. DOODY: You misunderstand my role

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MS. BOROOAH: It is a point we could agree with, whether it needs to be linked to the idea of a periodic inspection.

If the inspection is required, there is an obligation to review under certain time frames.

But if it is simply -- and we heard yesterday the nature of reports building owners would typically get might look at a much longer time horizon and overall management of the property during its life span having nothing to do with any potential, or at least not imminent failure.

So in the *Fire Code* you are required to test your systems and they are the sort of system that probably should be tested annually or whatever the benchmark is in that case.

I think our argument yesterday is the kinds of structural issues we are talking about likely do not need an inspection that frequently. And when they do need that, using some kind of risk-based determination of what it is, then it should be done and if there is an issue it should be filed.

That means there is an obligation to do it, which is I think the more parallel situation to the $Fire\ Code$.

MR. DOODY: Mr. Shaw, you have got

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1 your finger in the air. 2 MR. SHAW: I think in regards to an 3 enforcement mechanism for these type of reports, if they are mandated there are certain touchstones that 4 5 municipalities and other legislative bodies do enter 6 onto properties. 7 Ministry of Labour during their 8 inspections of buildings, if legislative could ask for 9 these type of reports to be produced. 10 We referred to the fire service, under 11 the Landlord Tenant Act it could be a requirement to 12 produce in the event of a case there. 13 In regards to receiving a property 14 standards complaint a property standards officer could 15 go out and ask for those reports to be produced. 16 So I think there are mechanisms for 17 enforcement that would actually hold true to the owner 18 maintaining his records. 19 MR. DOODY: And property standards complaint in a municipality which had a property 2.0 standards by-law, which covered the issue of concern. 21 22 Mr. Froebelius? MR. FROEBELIUS: 23 Just the fact that

the concept of an obligation on behalf of an owner to

submit a report to the City.

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1	If it came to that and it wasn't
2	coupled with the requirement to do the annual
3	inspection or the bi-annual or whatever term would be
4	agreed to.
5	What could end up happening is that
6	those types of owners just would not do the reports.
7	So knowing that as soon as they have a
8	report they have to give it to the City, the owner
9	might just say 'I'll get my friend who is a water
LO	proofer to come out and have a look and tell me what he
L1	thinks.'
L2	No report, it's leaking, yes it's
L3	really bad, you had better do something.
L 4	You won't have a report.
L5	Is that a better concept than having
L 6	an owner who feels free to get an engineer to come out
L7	and give them an assessment and a report that they have
L8	the ability to look at and digest themselves rather
L 9	than arbitrarily have to submit it to the City?
20	You could create an environment where
21	they are afraid to do that.
22	So unless you have that mandatory
23	inspection every two or three years or whatever the

MR. DOODY: That's a word that is

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definitely becoming popular.

MR. FROEBELIUS: You could create that, you just the reports where the reports aren't done with some of these owners.

MR. DOODY: This might be a useful time to move on to the next question.

And I said at the beginning we are going to do them in the order, but I am now reserving my right to juggle it a bit.

That is, I would like really to talk about the Questions 2 and 4 together, because Question 2 is: "Should the owner of a building..." and perhaps those who prepared the building information "...be required to register the information relating to the condition of a building on the title to the property?"

And number 4 is: "If there is no requirement to register the information relating to the condition of a building on title to the property, should the information be made accessible to the public by some other means such as a central registry? Or should they at least be filed with the Chief Building Official?"

And everybody, I think to a person in their response to should they be required to register on title said well that is out of sync with the purpose

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of the land titles or the land registers, which is to record information that goes to title.

This information does not go to title, so you register mortgages or you register liens, or you register documents that show of an interest in the land, that is something that goes to title.

Question 4 and say the question really is should there be an opportunity for the public to learn about the condition of buildings to which the public has access by requiring the filing of reports that outline the condition of the building in a public place, publicly accessible place, so that for example if there is a potential problem with a building, there is another opportunity for someone to learn about it and have it fixed?

that we heard, in addition to what I have described earlier today, but there was also evidence from the initial owner who owned the building for 20 years that it was their practice to never disclose any information about the buildings that they owned, including the physical condition of the building to anybody unless they had to, and even in the context of the due diligence investigation when they were selling it, they

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required the purchaser to enter into a non-disclosure agreement which required all of the information, not just the financial, but the physical condition information to be limited to specified individuals in the corporate purchaser and then returned if the deal did not go through.

And it was the evidence of a witness from that company that that was the way that they operated, because disclosing this information caused potential problems.

And so in the context of that evidence and the concerns for public safety and the buildings to which the public has access, should there be some obligation to file information about the condition of a building on a public register.

So if we could go around the table, perhaps starting with the City of Toronto.

I think this may be something you have already spoken to, Ms. Borooah, but this is a slightly different wrinkle on it.

MS. BOROOAH: I have not spoken to the interception with the land titles and registry system.

So we have limited experience, but experience with resistance to registering much

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information about our activities on the land registry and title system.

I have talked to a number of my municipal counterparts here and asked where about practices with respect to certain types of uses where the registry system has agreed to register basically orders where there is an outstanding defect identified.

The current case in point is where we have marijuana grow operations where municipalities are, by statute, required to inspect and render them safe or conclude that they are safe under the -- I forget the title of the legislation, but it is possible to find it anyway.

So there is a positive obligation on municipalities to inspect those situations and there is also, I think generally, although I may vary it a bit across the Province when the registry system has accepted those orders.

We are counselled though as soon as they are resolved to remove them from title.

MR. DOODY: Because they no longer affect the title.

MS. BOROOAH: That's right.

And I have to say that based on that experience we have seen the lenders and insurers

engaged quite actively should we neglect that obligation to remove those orders.

So the system essentially is fairly limited in terms of building-related information. But only in a situation where a defect that may have some interest to the public have they accepted documents.

MR. DOODY: What about a situation like -- and somebody put this in their submission -- there is presently in Ontario a legislation which created a registry called the "Record of Site Condition Registry."

This is for environmental reports, so that a landowner who obtains an environmental assessment of his or her property can register that assessment report on this public register, which does two things.

It gives the public and any potential purchasers of that land notice of what the condition is, environmentally speaking, of the land in question so that they are buying with their eyes open.

It also protects the existing landowner, should it be sold from a subsequent claim for pollution-related damages because there is evidence of what the condition of the building was at the time of the report.

Because it is always a problem in these sorts of circumstances when did the pollution arise?

Because if the pollution arose when you owned it, it is pretty hard to avoid some liability.

So this protects.

It both gives notice to potential purchasers and the public, and it protects the existing owner and it has become widely used, as I am sure the lawyers around the table know, certainly in commercial real estate transactions to search the record as part of the due diligence.

What about creating a register like that for reports of the structural condition of a building where they could be filed, but then not be filed with the municipality, it might solve your concerns about having possession of information that it is difficult to control or keep track of and liability issues.

But it would provide subsequent engineers with a baseline and information that they could use when they are preparing a report.

It would provide subsequent or potential purchasers with information and perhaps most

1	importantly it could provide the public with knowledge
2	about buildings to which they have access with.
3	What would your reaction be to that?
4	MS. BOROOAH: I do have some
5	experience with that Registry.
6	I did work with the Ministry of the
7	Environment in the work leading up to creating such a
8	registry for the purposes you described, which really
9	relates to the potential long-term liability around
10	environmental conditions associated with soil.
11	And of course, as the Chief Building
12	Official, I am responsible to ensure that it is an
13	applicable law under the Building Code Act, a record of
14	site condition is required prior to the issuance of a
15	building permit.
16	So we are the gatekeeper for this
17	legislation.
18	But I think you need to keep in mind
19	that that is a much narrower data set or body of
20	transactions or situations where this is required then
21	would be the case for all buildings in the Province
22	within a certain category.
23	MR. DOODY: What do you mean by a
24	"narrower data set"?

MS. BOROOAH: Well, we are only

1 talking about contaminated sites where the potentially 2 contaminated sites basically when they convert from a sensitive or less sensitive to a more sensitive land 3 use, basically, and even that is fairly narrowly 4 5 defined in the legislation. So I would venture, compared to the 6 7 building stock in the Province, the number of reviews 8 or records required to be filed would be substantially 9 smaller. Under the record of site 10 MR. DOODY: condition? 11 Under the record of 12 MS. BOROOAH: 13 site conditions. So that is something that could be 14 15 discussed further. 16 I would agree with you if the Province chose to set this up, it would make sense as a 17 18 provincial record as opposed to local municipal record 19 for consistency and ease of access to that information. 2.0 But I think the provincial agencies who might have some kind of role in that would probably 21 22 have comments on how practical it might be if you think

about the number of buildings in the Province that

Ms. Lewis?

might be subject to the registration process.

MR. DOODY:

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I did not throw you that football!

The City of Toronto did.

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I think my colleague, Ms. MS. LEWIS:

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Borooah actually indicated very applicable statement, how affective would it be given the building stock in Ontario.

I think in our submission we put out there that in consideration going forward and in all good policy making you have to look at what are the resources available and who are the players at the table.

So again, you look at the building, what are the responsibilities of the building owner versus the municipality versus the province, and what we would certainly be considering is linking all of this together, you know, with the mandatory inspection, who is responsible for what and different mechanisms that would be available to us in order to get the right people informed about what is going on.

And if it is the public that is being informed, some of the considerations would be what tools are there available and would it be most effective, like I know there has been talk about publicly making available these inspection reports that are done by engineers.

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Quite frankly, one of the things that the government would be considering is what is the ability and the capacity of the public to understand them?

I know I have read engineering reports and I am responsible for the *Building Code* and I have to go out and talk to my engineers to get a full understanding of what the implication is. So how effective is that?

So what tools would there be to help the public understand what the conditions of the buildings are?

And that might be something that we would want to work with the sector to actually figure out how best to inform the public.

MR. DOODY: Your last comment intrigues me because the reason access to information legislation was brought in, I think about 30 years ago, was because of the comment, I think it was epitomized by a comment by an American Supreme Court Judge: "the best disinfectant is sunlight". That public access to information has a number of beneficial results.

It ensures that information for which there is no good reason to keep it private, is made accessible, and that leads to people who can understand

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1	it, being able to read it.
2	And the evidence that we have heard
3	here is that if the engineers had known, they would
4	have affected their reports.
5	If the City had known it would have
6	affected their actions.
7	And I dare say if members of the
8	public in Elliot Lake had known.
9	You know, the justice system in
LO	Ontario rests, to a great extent, upon the jury system
L1	and 20 years ago you used to be able to get a jury
L2	struck and dismissed from a civil action if it was
L3	anything that involved science or medicine or
L 4	engineering because it was too complicated for the
L5	public to understand.
L 6	The courts dismissed that about 15 or
L7	20 years ago.
L8	The recognition is that members of the
L 9	public are actually smarter than you might think.
20	So what we are trying to understand is
21	what is the harm?
22	Particularly if it is the mandated
23	inspections, what is the harm?
24	MS. LEWIS: Again, I think you are

misunderstanding my statement. I am not saying not

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1	disclose.
2	But government would be considering a
3	variety of mechanisms.
4	If someone wants that full engineering
5	report and it is mandated by law, everything that is
6	mandated by law would be considered public information.
7	MR. DOODY: Only if it is filed with
8	the government.
9	MS. LEWIS: True.
10	And that is all the things that we
11	would be considering in making recommendations going
12	forward to government on how best to handle the
13	recommendations from the Commission.
14	But as a government we also have a
15	responsibility to make sure that all members of the
16	public would be able to understand.
17	So there are mechanisms that we would
18	want to consider on how best we can make sure that we
19	are meeting the vast majority of the public needs.
20	So it could be full disclosure
21	reports, it could be a variety of mechanisms that we
22	could use.
23	MR. DOODY: Mr. Froebelius?
24	MR. FROEBELIUS: I am a bit at the
25	same point.

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The record of site condition I would
like to refer to, actually, because during due
diligence that is something that we do all look at.

Again, I would just repeat that if we developed a system with suspended parking structures or parking structures in general, there is an annual or however frequency we discussed that that had to be deposited somewhere, then that could be a resource.

But there would have to be a mechanism, very importantly, to have it removed from the record once an issue was corrected, et cetera, because it is not fair to keep that on the title of the property or in whatever database if it has been addressed sufficiently in the future.

MR. DOODY: Ms. Wharton-Szatan, how, from the view point of the MOL would it be of assistance to an inspector under the Occupational Health and Safety Act if he or she had access to a record of these sorts of inspection reports so that they could be accessed?

MS. WHARTON-SZATAN: I would just like to couch my response under the framework of the Occupational Health and Safety Act, whereby its foundations is the internal responsibility system where the employer would be the one that would be responsible

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25 absolutely.

for the health and safety of the workplace parties with each workplace party having a role.

So if an inspector was to enter into a workplace and in the course of the duties conducting an inspection or investigation requiring an engineering report, they could ask the employer to provide a copy of that report under their powers of the Act under section 54.

Thus, that would help them in making a determination as to what the next steps would be to address whatever the issue was that they came across to their attention.

So it is really, to answer the question, it would be helpful for the inspector, but it is typically the authority to obtain that report is within our mandate, it is in the Act already, and it is an employer's responsibility to be aware and to look at and maintain their workplace in accordance with the Occupational Health and Safety Act.

MR. DOODY: And that includes the obligation, obviously, to keep it structurally sound? MS. WHARTON-SZATAN: Absolutely,

Maintaining good condition,

absolutely.

1	MR. DOODY: And is there presently
2	under the OHSA an obligation to maintain such records?
3	MS. WHARTON-SZATAN: Under the Act
4	no, not for the employer, but if the inspector does
5	obtain a copy of an engineering report during the
6	course of their conducting their duties, that record
7	will be kept under our retention schedules and would be
8	available under the Freedom Of Information Act, should
9	a third party request it.
10	Additionally, should someone complain
11	and that actually initiated the investigation or
12	inspection by the inspector and they did obtain a
13	report during the course of their investigation then
14	that information or the field visit report would be
15	available to them under section 57(10) of the Act.
16	MR. DOODY: What is your experience
17	with FIPPA requests, the Freedom of Information and
18	Protection of Privacy Act?
19	The experience that I have heard
20	anecdotally is that they are not as quick to get the
21	information as would be if it was on a public register.
22	Is that
23	MS. WHARTON-SZATAN: We have a 15-day
24	turnaround.

It takes time.

1	We have to pull the paper records and
2	you have to review them and remove personal information
3	and photocopy and then send it out, so it does take a
4	bit of time.
5	MR. DOODY: Do you know what the
6	compliance rate in terms of that 15-day goal is?
7	MS. WHARTON-SZATAN: No. No, I
8	don't, sorry.
9	MR. DOODY: Ms. Borooah?
LO	MS. BOROOAH: I just wanted to talk
L1	about -
L2	I actually should not have put my hand
L3	up I think!
L 4	(Laughter)
L5	MR. DOODY: But you can't help
L 6	yourself.
L7	MS. BOROOAH: I can't help myself.
L 8	So going back to when the document is
L 9	a municipal record, and I mentioned the electronic
20	records, I think certainly in our case these documents
21	will come in as electronic record today, we have a 99
22	percent success rate in disclosing the information
23	routinely.
24	The target is 30 days, but we make it
25	largely in pen, and when it is an electronic document,

1	often you can get it by e-mail immediately.
2	So I think the world is changing in
3	this sort of situation and where they are filed with
4	the municipalities they should be available.
5	MR. DOODY: Mr. Stein, we have not
6	heard from you on this point.
7	If there was a requirement to file
8	reports, either regularly obtained reports or if there
9	were a mandated required report on a periodic basis,
LO	from the IBC's perspective would that be of assistance
L1	to insurers?
L2	MR. STEIN: And we are talking about
L3	structural engineering reports, correct?
L 4	MR. DOODY: As I say, the question as
L5	framed is broader than that.
L 6	So it is any engineering or
L7	professional review or only the reviews which might be
L8	required on a periodic basis in respect of a structural
L 9	issue.
20	Both of those things are on the table.
21	MR. STEIN: It's hard to say.
22	Each company, when they sell or offer
23	an insurance product, is going to look for different
24	types of information, they are going to look at

different risk factors, that type of thing.

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So it is kind of hard to say from an industry perspective whether having all these reports and all this information is very specific detailed information would be helpful from an industry perspective.

There are just so many different risk factors that they look at.

To have every single piece of information for all those risk factors, you know, maybe for some companies, maybe other companies there won't be -- it kind of depends on what their underwriting criteria is.

MR. DOODY: Wouldn't the more
information be the better?

MR. STEIN: You know you go back a long time ago, a few decades, I am sure there would have been 'oh, wouldn't it be great if we knew this or if we knew that?'

And in this day and age there is so much information available, but there is always the risk of information overload and you are constantly trying to get more and more information.

The next thing you know, from a company perspective, someone else is provided coverage to a potential client.

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So you know, certain companies will focus more on certain areas of a risk, and perhaps that type of information would be helpful for them.

But to say it from an industry perspective, it is hard to say just because each company, they look at different things and they have different needs and it depends on the specific risk as well.

THE COMMISSIONER: One of the concerns I have often heard, I heard particularly during the period of my residence in Elliot Lake, it was a significant and frequently expressed concern, particularly in a small municipality environment, that there was a "cozy, unspoken old boys club type of arrangement between owners and regulators not to rock the boat."

Whether that perception was justified or not is something that the Commission may have to determine.

But the fact remains that with publicity and with exposure through registration, individuals in a type of municipality such as we have seen in Elliot Lake, a lot of retired folks who have a lot of time on their hands and love to explore these issues would have at least the satisfaction of knowing

1 that things involving their personal safety and the 2 public safety of the community is out there. 3 I just make that comment generally. Yes, Mr. Froebelius? 4 5 MR. FROEBELIUS: I was going to add that buildings are very complex and there are many more 6 7 issues within a building than structural-related 8 issues. 9 There is an electrical safety 10 authority; there is the TSSA with respect to elevators and HVAC systems. 11 12 There are many, many systems in 13 buildings that can affect public safety. 14 I think you do have to look at, if you were to put all of the energy into the creation of, 15 16 say, a structural database, if that is the best -- and 17 pardon the expression -- but is it the best bang for their buck. 18 19 Because there are other systems and 2.0 other issues that are within buildings that can affect 21 safety as well. 22 THE COMMISSIONER: You can walk into an elevator and see the certificate right on the wall 23 24 there saying it has been inspected.

MR. FROEBELIUS: You see a license in

building.

1	the elevator, you don't see that it has been inspected.
2	You do not know that there might be an order to comply
3	on the elevator.
4	There are a lot of things, electrical
5	safety authority as well, contractors could have done
6	work, it might not have been signed off by the ESA
7	inspector.
8	So if you want to have the public to
9	be able to type in an address and see everything that
10	was wrong with First Canadian Place or whatever.
11	I mean there could be a myriad of
12	things other than just structural.
13	I think it is a cautionary note.
14	MR. DOODY: Mr. Huxley?
15	MR. HUXLEY: Yes, thank you.
16	AMO's position in its submission is
17	that it would appear that a central registry would
18	create unnecessary information, work and resources.
19	But let me try to answer this
20	question.
21	We assume that there are going to be
22	periodic, mandatory inspections by the owner.
23	That may address some of the concerns
24	you have by the various stakeholders looking at a

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The first would be a purchaser or subsequent owner.

Through the real estate transaction, exercising due diligence, that purchaser or subsequent owner would have access to that information.

Similarly, a subsequent professional looking at the building should have access to the prior professional reports, and that perhaps is an issue that the next component of the Roundtable, information sharing amongst professionals, can be a matter of discussion.

The chief building official or building official, whatever that threshold is, as to when the report would have to be provided by a professional or an owner to the building official, because there is remedial action required and I don't know what that threshold is and that is certainly something for discussion; the chief building official would then be made aware of that situation.

With respect to the public then, and again the public interest is -- or other inspectors, whether it be the Ministry of Labour, but the chief building officials do act in the interest of public safety, that is the way the act is garnered.

So the public would be aware if this

1	process is to proceed through its logical route, would
2	be if an order were to be issued, as is currently,
3	orders are posted at the premises.
4	It may be an order requiring certain
5	action be undertaken, or it may be an order prohibiting
6	occupancy all together.
7	But the public would have information
8	as to the concerns that a chief building official may
9	have with respect to the Act in its current regime by
10	posting orders, et cetera.
11	I am sure that is helpful.
12	MR. DOODY: In a situation where the
13	order is posted, in your scenario, the public is not
14	given access to the information that underlay the
15	order.
16	In other words, there is no public
17	opportunity to look at the activity of the CBO.
18	So if you have a CBO who fell down on
19	the job, you have missed an opportunity.
20	And again, there is evidence that
21	could be interpreted from Elliot Lake where precisely
22	that happened.
23	And what we are trying to understand

We have heard about the cost of filing

is what is the downside?

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1 reports in a registry. 2 But with the modern data management 3 ability, is that a serious concern when weighed against the issues of public safety? 4 5 MR. HUXLEY: I think the resources of any registry need to be examined and is there a better 6 7 way to get that information. 8 And orders are generally pretty 9 detailed and again, if anyone wishes to challenge an 10 order, whether anyone agrees, it could be a member of 11 the public they have that option. 12 The experience would be of putting 13 orders on title, and I think there was some discussion 14 about that, and it may be akin to our central registry. 15 Typically the only -- and I heed the 16 Commissioner's response, that there may be members of 17 the public that look to this information, but some 18 municipalities used to put property standards orders on 19 title. 2.0 Really the only entity that would ever 21 be concerned about that would be a subsequent 22 purchaser. 23 So if you are getting the information

through the due diligence of a real estate transaction,

the central registry may serve only that point, it

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would be only of interest to purchasers.

Not to diminish that there may be members of the public that may be looking at information, but there may be other information through a real estate transaction in addition to the reports that may give a bigger picture of the status of the building that may not be available through a central registry.

MR. DOODY: I noticed Mr. Stein your hand was up, so we will get to you.

The City of Toronto indicated in its submission that since the advent of title insurance, the number of requests for outstanding municipal work orders has diminished significantly.

And I understand that that is a similar situation across the Province.

Probably everybody is intimately familiar with the title insurance, but it covers just about everything.

So it seems that in an effort to save some money, some purchasers or purchaser's lawyers are saying we don't need to do the search for outstanding work orders because if there is a problem the insurer will make our client whole, will make the purchaser whole.

1	So the concept of the due diligence,
2	bringing this information to bear, seems to be
3	diminishing with the advent of title insurance.
4	When I read that in the City of
5	Toronto's submission, I thought 'well that seems to be
6	a safeguard which is becoming of limited utility, and
7	making information publicly available would, to some
8	extent, offset that.'
9	Does anybody have a comment on that?
10	Ms. Borooah?
11	MS. BOROOAH: I thought we were going
12	to talk about that a bit later, so I don't want to
13	MR. DOODY: Okay, we will talk about
14	it later then.
15	I have thrown the pebble into the
16	water.
17	Let's come back to it later.
18	Mr. Stein, you indicated you wanted to
19	say something.
20	MR. STEIN: I just wanted to add one
21	thing to when you had asked the question about insurers
22	wanting that information.
23	There is a provision in most insurance
24	contracts for the client to disclose information that
25	is material to the risk.

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So there is that built in kind of process to get information that, you know if there is a known structural issue here that that type of information -- I am not a lawyer, but I guess would fall into that category of something that would have to be disclosed.

MR. DOODY: Yes. Having dealt with some of those, there is always an issue as to whether the information that was not disclosed is in fact material to the risk and whether the insured knew or ought to have known that it was material to the risk.

But you are right.

Mr. Braithwaite, I am not sure we have heard from you on this point.

would make that might be helpful that is not duplicating what has been said earlier, if you look at the environmental area, which is a very high risk, and building owners tend to focus on high risk and trying to understand how do you control risk and mitigate it.

The record of site condition in terms of environmental I think has been a fairly successful program, even though it's quite expensive.

It has been a successful program in the sense that it defines a baseline in a relatively

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1	high risk area that enables two parties to put a
2	transaction together with the benefit of a record of
3	site condition on the environmental side.
4	So if that has been a successful
5	intervention in the process, if you will, can you
6	transfer that same idea to other areas?
7	And I think that is part of the debate
8	of what we are talking about here in the discussion.
9	I think a lot of it has to be filtered
10	with risk.
11	The higher the risk then you are going
12	to get more acceptance from the private sector in
13	particular, but this is something that would be
14	helpful.
15	This is something that will define
16	baseline; this is something that will enable the market
17	place to be more effective.
18	MR. DOODY: Mr. Huxley?
19	MR. HUXLEY: I guess this is just to
20	further the question, and I can see obviously the issue
21	of public interest and public safety.
22	I direct the question perhaps then to

the building industry and if we are assuming that the

periodic inspections are being conducted by the owner

and that there is a repository on-site with the

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situation?

1	responsible owner, landlord for that information, and
2	that information be made accessible to building
3	officials or Ministry of Labour inspectors, I guess the
4	question would be if the public were interested in that
5	information, would the landlord or owner make that
6	information either at an administrative office or on
7	the particular building's website?
8	So that it is not a central registry,
9	but you know, those landlords or owners that wish to
10	make that information available, and I suspect there
11	are landlords and property owners that make that
12	information available today as property management et
13	cetera.
14	So maybe that is an avenue for the
15	public to access information, not through a central
16	portal, but through similar information where members
17	of the public may access information on any business.
18	MR. DOODY: You are talking about
19	voluntary on the part of the owners,
20	THE COMMISSIONER: As opposed to
21	mandatory?
22	MR. HUXLEY: I would put it out first
23	as a question of what is the current status of that

And what would the building industry's

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1 response be to that on a voluntary basis? 2 And then the next question would be where do you go if that is not the case? 3 4 MR. DOODY: Do you want to comment on 5 that, Mr. Froebelius? 6 MR. FROEBELIUS: Frankly, I do not 7 see that happening where managers would voluntarily disclose or come into the office and have a look at the 8 9 reports. I do not think that would happen. 10 But I would counter that by saying 11 that again, back to responsible owners, if you are a 12 member of BOMA, BOMA has certification programs, we 13 have a certification program called "BOMA Best", we 14 have a certificate of excellence program, we have the 15 Toby Award programs and many of our members participate 16 in those types of certifications. 17 You might walk into a mall and see the BOMA Best level 1-4 banner hanging at the entrance to 18 19 the mall. 2.0 If you have gone through that process, 21 it's a voluntary process, it costs the owner a fair bit 22 of money to do it, and time and effort, but it puts you through a process where it should reassure the public 23

that this building has been assessed and it is managed

in a very professional fashion.

1	And all of the checklists on those
2	certifications include a myriad of different things.
3	So that is the type of thing I think
4	that the industry would prefer to do. Self-certify and
5	make sure things are in place.
6	THE COMMISSIONER: The Securities
7	Industry, obviously are forced to comply with
8	disclosure, and do it.
9	Mind you, anybody reading the material
10	they produce are not I am certainly, in any event,
11	not advanced by the material I get.
12	But there is an example of imposed
13	disclosure, legislatively imposed disclosure.
14	And it works.
15	MR. DOODY: It is now almost 10:40,
16	Mr. Commissioner.
17	Perhaps this would be a good time?
18	THE COMMISSIONER: Let's have a
19	coffee and return here at 11 o'clock.
20	RECESSED AT 10:40 A.M.
21	RESUMED AT 11:00 A.M.
22	MR. DOODY: Question number 3 was if
23	there was a requirement to register information in
24	respect of the condition of a building, somewhere,
25	should that obligation apply to all buildings or only

1	commercial buildings?
2	How do you think ought to be defined
3	the stock of buildings to which it would apply?
4	We had a similar discussion yesterday
5	when we were talking about should there be periodic
6	inspections and to what kind of buildings should it
7	apply.
8	We have some different people at the
9	table today, so I am just interested in the reaction.
10	THE COMMISSIONER: Should it be
11	publicly accessible commercial buildings, that kind of
12	thing.
13	MR. DOODY: Right, yes.
14	Mr. Froebelius?
15	MR. FROEBELIUS: Yesterday, we talked
16	about risk assessment and I think the determination for
17	any type of registry would have to be based on the
18	accepted level of risk.
19	So as you just stated, you know the
20	publicly accessible buildings start from there, but it
21	could be as focussed as, you know, parking structures
22	built prior to 1985, that type of thing.
23	I think you could have a very broad
24	scope.

But I think there would have to be an

1	exercise to determine what level of risk warranted.
2	To say all buildings across the
3	Province I think would be insurmountable, frankly.
4	But I think it would be based on risk.
5	MR. DOODY: Why would it be
6	insurmountable, assuming you had a lead in time to
7	accomplish that, when right now there is an obligation
8	to inspect any and all building before it can be
9	occupied under the Building Code Act.
10	MR. FROEBELIUS: For every existing
11	building in the Province
12	MR. DOODY: Any existing building to
13	which the public has open access.
14	MR. FROEBELIUS: I agree.
15	It would be narrowed to the point
16	where we came to an acceptable level of what could be
17	handled and where the importance was.
18	But certainly publicly accessible.
19	MR. DOODY: Mr. Shaw?
20	MR. SHAW: I would echo with regards
21	to the requirement not be placed on all buildings,
22	simply by the sheer numbers it would be overwhelming
23	and probably somewhat ineffective.
24	Having stated that, I do believe that
25	there is merit for public buildings, publicly

1	accessible buildings and that further consultation with
2	stakeholders and so on, identifying size, use and
3	occupancy and elements should be undertaken to identify
4	not just buildings accessed by the public, but other
5	sensitive uses and buildings.
6	So I would feel that with further
7	input from the stakeholders that we could actually have
8	a somewhat reasonable safety blanket placed.
9	MR. DOODY: What kind of other
10	sensitive uses are you thinking of?
11	MR. SHAW: Well, there is certain
12	buildings that are considered.
13	We have referred several times in
14	regards to the condo situations.
15	Condos are privately owned, but there
16	are public spaces in them.
17	So these are all things that you would
18	have to consider.
19	Would you restrict the say for a
20	high-rise would you restrict access or a review of
21	high-rise buildings?
22	There might be merit for the inclusion
23	of high-rise buildings in these type of reviews.
24	So those are just some thoughts and I

am sure if we get together, because it is such a

1	complex issue, consultation with other individuals,
2	stakeholders in the group, I believe that we could
3	efficiently identify what buildings need to be
4	included.
5	MR. DOODY: Mr. Braithwaite, any
6	thoughts?
7	MR. BRAITHWAITE: I reiterate the
8	same point in terms of high-rise residential buildings
9	For example, if you look at Toronto
LO	right now there are something like 192 buildings that
L1	are at various stages of approval and under
L2	construction.
L3	MR. DOODY: How many? I am sorry?
L 4	MR. BRAITHWAITE: 192.
L5	That is more than the growth rate
L 6	announced in the top five cities in the U.S.
L7	So it is just a huge amount of growth
L8	coming in the form of high-rise residential buildings.
L 9	I think the ownership structure of
20	those buildings is very much impacted by the
21	association of owners that run them.
22	I think there is definite need for
23	regulation, if you will, to make sure that those high-
24	rise buildings are properly inspected.

So I think it goes beyond commercial.

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I think you have to seriously take a look at that as part of the program.

MR. DOODY: Ms. Wharton-Szatan, from the viewpoint of the MOL and I may have asked you a variation of this question earlier today. But would there be a benefit to having some sort of an obligation on workplaces?

MS. WHARTON-SZATAN: I think within the framework of the Act itself there is provisions already in place for monthly inspections for the Health and Safety representatives or from the Joint Health and Safety Committee or the worker rep to conduct inspections and bring to the attention, to their employer, any hazards that they observed or deficiencies.

So if they observed something that might be questionable about the structure of the building then they could take that to their employer for further investigation, which could in turn generate a report.

But I think the responsibility of the workplace and maintaining of the workplace should reside with the employer or the owner of the building.

MR. DOODY: And if there was no obligation to register those sort of reports, then how

1 do the

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do the employees learn about it?

MS. WHARTON-SZATAN: If the employer is conducting any testing in the workplace, then they are obliged under the structure of the legislation to advise the worker representative at the commencing of the testing that it is taking place.

So this is one way in which a joint health and safety committee or worker representative would be aware of any testing taking place, and then in turn the Committee would be party to or could ask for that information, and then it could be disseminated through the workplace.

MR. DOODY: So would testing include, for example, a structural engineering review of the building?

MS. WHARTON-SZATAN: If the engineer undertook structural forensic testing or anything like that, then that would be included as well.

THE COMMISSIONER: One of the problems we saw was that there may have been an implication of Health and Safety in relation to one end of the shopping centre, but that was not necessarily known to or available to in terms of information, the people that are way at the other end or on another floor. I mean these are all distinct individual

1	locations.
2	How do you deal with that?
3	MS. WHARTON-SZATAN: I think if it is
4	a structural issue then and that workplace party
5	workplace would have reported it to their employer, I
6	would believe that the employer, in turn, would speak
7	with the owner of the facility.
8	In that case, the owner would take
9	appropriate action, and that is one way in which that
10	information could be shared with the other workplace
11	parties, is through the contractual agreements, but
12	that is really outside of our scope.
13	THE COMMISSIONER: I agree that there
14	are associations of business owners within larger
15	centres, that kind of thing.
16	But the fellow or gal working in the
17	accounts department at Zellers may not necessarily know
18	what is going on at the Bank of Nova Scotia at the
19	other end on another floor.
20	MR. DOODY: There was certainly some
21	evidence that the extent of the problem in Store "A"
22	was not known to the people that worked in Store "B".
23	And yet the building is all one
24	building, obviously.

MS. WHARTON-SZATAN: Yes, with one

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1	owner.
2	MR. DOODY: Well, one owner and when
3	the engineers came in after the collapse and looked at
4	it, these structural issues were spread throughout the
5	entire mall, which it's not surprising.
6	MS. WHARTON-SZATAN: But there are
7	duties for the employer I should say the owner of
8	the structure, of the building, to maintain it in good
9	condition and I think that is their role as the owner.
LO	And that is embedded in the Act; it's
L1	an owner duty.
L2	MR. DOODY: Right, that is their
L3	role.
L 4	But at this stage we are talking about
L5	spreading the information so that others can make sure
L 6	that the actually perform their role.
L7	That was the problem we saw, at least
L 8	arguably, that one or more of the owners might not have
L 9	performed their role properly.
20	MS. WHARTON-SZATAN: Right.
21	MR. DOODY: Ms. Borooah?
22	MS. BOROOAH: I just wanted to pick
23	up on the point raised earlier about condominiums.
24	As we discussed yesterday there are

regulations that apply separately to condominium

corporations around reviewing the physical building itself.

And to avoid duplication, that might be a case in point where you would look at whether you could incorporate the requirement opposed or register into those roles as opposed to duplicating them.

The Condominium Act does not speak directly, I don't believe, to reporting any deficiencies found in the manner we talked about for the buildings to the municipality, but there would be a mechanism for that. I think it is seen as a fairly effective self-contained system.

So you might want to look at how you notify within that system, as opposed to in the system we have talked about to apply to other buildings.

MR. DOODY: Right. I think within that system right now perspective purchasers can get access to the last engineering report as part of the due diligence process, but there might be some benefit to making it more widely available.

As you say, it might not actually cause any more work to be done, it is just accessibility to the information issue.

Mr. Froebelius?

MR. FROEBELIUS: Just back to the

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1 health and safety aspect.

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I just wondered because the employees of the building manager would be the only employees that I take it the entire mall would apply as a workplace, perhaps the scope of the OHNS should be such in a shared facility like that where if one particular tenant or employee of a tenancy was to complain that somehow it would apply to a larger portion of the address or the property, right?

MR. DOODY: Ms. Wharton-Szatan?

MS. WHARTON-SZATAN: I would like to point out though that if our inspector did go into a workplace within a large workplace such as a mall, and they were addressing a concern brought to their attention, they would leave a field visit report and it would be posted in the workplace, accessible to view.

So I think if the other employees, like those of the owner were going in to do maintenance, they would be able to see those posted workplace reports and should they have any questions about them, they could contact the inspector as their information is on the field visit report.

MR. DOODY: I guess what all of this is driving is if there is already provision to make this sort of information available, albeit by posting

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1	it on a bulletin board in the cafeteria where somebody
2	might see it or might not see it, what is the harm in
3	making it publicly available by posting it on a
4	website?
5	The amount of information that is now
6	accessible by going to the web was unfathomable 50
7	years ago.
8	But ought we still to be relying upon
9	somebody posting it on a bulletin board and somebody
10	happen to look at it, but it could be made more easily
11	available by posting it on a central registry.
12	THE COMMISSIONER: And posting it on
13	the bulletin board available just to employees is cold
14	comfort to the member of the public who attends there
15	maybe once or twice a month or once or twice a week
16	that does not have access to all those bulletin boards.
17	MR. DOODY: Does anybody else have
18	anything to say on this?
19	Mr. Huxley?
20	MR. HUXLEY: With respect to Question
21	3, obviously AMO is responding in the alternative.
22	But I think there is a logical

starting place if there is going to be such a system to

tie it to the risk management or the risk assessment

that we talked about yesterday, at least as a

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1	preliminary step, and then you move forward.
2	But there is going to be a number of
3	questions, there are buildings that are mixed use,
4	there are buildings that have residential and
5	commercial and they are viewed as one structure, but
6	different components.
7	So I think the starting place would be
8	looking at that risk assessment as been previously
9	noted.
10	MR. DOODY: And when that risk
11	assessment based list is being compiled as one of the
12	principles, would it make sense to suggest it be over-
13	inclusive?
14	In other words, if there is potential
15	for error, error on the over-inclusiveness side rather
16	than the under-inclusiveness side?
17	Does that make sense?
18	MR. HUXLEY: There is two ways.
19	That is one approach.
20	Or the other approach would be to take
21	preliminary steps to start off and identify those
22	buildings that we talked about in this forum at least,
23	certain buildings of a certain age.

So to develop something it may be more

appropriate to start small, if I may say that, than to

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1 have too far reaching that it may be too cumbersome and 2 too difficult to handle. So there is two approaches to that, 3 and I appreciate the comment, more inclusive would have 4 5 a larger umbrella than coverage, but I think there is some merit also to consider preliminary steps to see 6 7 what the scope is and if it is working from that 8 perspective to expand it. 9 MR. DOODY: Is there a risk that if 10 you do that it will take 20 years before there is adequate protection? 11 12 The buildings at risk are the 13 buildings that have been around for a while. 14 So as time marches on, if you have 15 missed a building the risk is going to increase, isn't 16 it? 17 So if you wait too long trying to 18 figure out what to put on the list, you are going to 19 miss putting them on the list. 2.0 Isn't that a risk? 21 I think you could MR. FROEBELIUS: 22 argue though that if you build this platform that you

could add things to the platform as potentially other

So if you invest initially in the

issues develop as well.

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1	database or the structure of the database that can be
2	used to archive things like this then as further issues
3	develop in the buildings community, then it could
4	potentially be added to that structure or that
5	database.
6	MR. DOODY: Some might say that we
7	wouldn't even be talking about this if the mall hadn't
8	collapsed and these buildings across the Province would
9	still be in a situation where there was no inspection
10	required of any of them and no information sharing
11	required of any of them and the common risk.
12	So if you start small, what is going
13	to be the impetus to move bigger?
14	I am just asking the question because
15	that is my role here.
16	But I am sure there would be some say
17	why would we wait for another disaster before we move
18	bigger?
19	MR. FROEBELIUS: But there was a
20	debate, I believe in the City of Toronto in the last
21	month about bridges, for example.
22	MR. DOODY: That is because pieces of
23	concrete kept falling off the Gardner.

MR. FROEBELIUS: Exactly.

So as infrastructure ages and the

1 building stock ages, then I think the public will 2 become more demanding of that. And I believe the debate was about 3 whether or not bridges that are closed in the City of 4 5 Toronto, I think there is only one that is closed, the Dufferin Street Bridge. 6 7 But whether or not those bridges 8 should be posted on the website, and there was quite a 9 lengthy debate about it, as to whether the public 10 should know which bridges are condemned, for example. 11 So I think as building stock and 12 infrastructure ages there will be more demand for that 13 type of disclosure. 14 MR. DOODY: And I quess two 15 questions. 16 One is do we have to wait until concrete falls off the Gardner. I drove under it last 17 18 week and it is a bit of a scary proposition when you 19 look at it. 2.0 Why would there be any argument 21 against letting the public know what bridges have been 22 condemned? 23 Just from a public policy perspective 24 what is the downside?

MR. FROEBELIUS:

If I am in the

1	Economic Development Department of the City of Toronto
2	and trying to attract businesses to the City of
3	Toronto, and there is a list on the website of 25
4	bridges that are, you know, in poor condition.
5	Is that the type of thing that the
6	Economic Development Department wants to see advertised
7	about the City?
8	I am not saying that is the right
9	answer, by any means, but is that a
LO	MR. DOODY: Is that a valid concern
L1	is the question I would ask?
L2	MR. FROEBELIUS: Does the public
L3	it can create a whole other set of issues, I think.
L4	MR. DOODY: Don't let the public know
L5	because they are better off not knowing?
L 6	MR. FROEBELIUS: I am not that is
L7	not my personal I am just playing devil's advocate.
L8	MR. DOODY: Does anybody else want to
L 9	say anything on this issue?
20	(No response)
21	MR. DOODY: Question number 5 is the
22	following: "Should the building owner be required to
23	complete an Affidavit as mandatory closing document
24	that states at a minimum the following: 1. The owner

has disclosed all engineering reports that have been

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1	conducted while the building has been owned by the
2	present owner. 2. That the owner has undertaken
3	appropriate inquiry in order to obtain the history of
4	all engineering reports on the property and they are
5	stated here. 3. There are no reports or documents
6	that the owner is aware of with respect to this real
7	property that deal in any way with the structural
8	assessment or condition of the building that has not
9	been disclosed to the purchaser."
10	And I think there are two issues
11	related to this.
12	One is; is it something which ought to
13	be done from the viewpoint of public safety?
14	And secondly, how do you do it?
15	Because right now any such obligation
16	is essentially a contractual one.
17	You put it in the agreement of
18	purchase and sale and it's required. If you don't,
19	it's not.
20	But if we could deal with the first
21	question first, that may assist.
22	Is it a good idea to require such an
23	obligation on a vendor of property?

the position, at least in the submission, that the

Ms. Borooah, I see that Toronto takes

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building condition is the owner's responsibility.

Am I over-simplifying?

MS. BOROOAH: I think we have taken the position that the building condition is the owner's responsibility and that is inherent in our recommendation around requiring the owner to conduct a periodic review in relation to the first days discussion.

Having said that, it seems like in part we are getting into an area which is not directly certainly the expertise of building regulators, we are talking about real estate transactions.

So exactly what mechanisms you are thinking you might use in this case, perhaps others can comment on that more directly.

MR. DOODY: That is why I separated the question of mechanism with "is it a good idea?"

So from the City's perspective, setting aside for the moment the question of how would one go about enforcing such a requirement, from the City's perspective would it make a good idea to require this information to be passed on from a vendor to a purchaser so that at one of the three stages that Mr. Braithwaite has said are important, we know that at least the purchaser has access to that information.

1 MS. BOROOAH: So in keeping with my earlier responses in this day's discussions.

The City of Toronto thinks that it is a good idea for purchasers to have information about municipal orders and outstanding matters with the municipality.

And we, like all municipalities, provide a service to give that information or virtually all municipalities and that that is a diminishing business, as we stated earlier, because it is our experience that increasingly purchasers, especially for smaller properties, are relying on title insurance and not requesting information about outstanding municipal matters, open permits, orders issued, et cetera.

So yes, we think it's a good idea, but we think probably the place to have that discussion is with the Law Society and with the practice standards around real estate transactions should incorporate that, where title insurance is normally secured.

THE COMMISSIONER: There is an important element of public protection here.

We had the experience in Elliot Lake of a purchaser saying 'I am prepared to waive all conditions if you reduce the price by a million bucks. I don't care what condition the building is in.

1	Essentially, you make it worth my while and I am not
2	going to ask any questions.'
3	We end up with somebody purchasing a
4	shopping centre here which has proven to be fatal to
5	members of the public.
6	MR. DOODY: And if the purchaser is
7	less and less asking for even the work orders, then
8	there is less and less protection for the public, the
9	owner is only interested in ensuring that his economic
10	interests are looked at.
11	I don't see how the Law Society could
12	go anything about this.
13	Right now, the lawyer acting for the
14	purchaser can only require the vendor to produce the
15	information that is in the agreement of purchase and
16	sale.
17	So whether it is a good idea for a
18	lawyer to ask for that information or not, there is no
19	obligation.
20	So again, the question is; is there
21	benefit to doing this, setting aside how you do it, and
22	what is the harm?
23	Wouldn't it produce, if the
24	information was actually disclosed, wouldn't it produce

better buildings and less of a headache for the city?

1	MS. BOROOAH: At the end of the day,
2	I am not sure you can insert regulatory role into the
3	owner's due diligence of purchasing a property.
4	In that case it would seem the owner
5	was not concerned about what the condition of the
6	property is. That is not universal, but that was the
7	case.
8	And I understand, I think through
9	discussion with our counsel, the only influence we
10	might have on a real estate transaction in that case is
11	through lawyers encouraging their clients to exercise
12	due diligence, whatever that may be.
13	MR. DOODY: But again, setting aside
14	the question of how you do it?
15	Wouldn't the City benefit from having
16	as much information as possible about the structural
17	condition of the building required to be passed to
18	purchasers from vendors, assuming it can be done
19	somehow?
20	MS. BOROOAH: In principle I would
21	agree with you.
22	And that is, I think, why
23	municipalities have encouraged and provided information
24	that is within their domain.

So if there is other information, it

support.

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1	is kind of outside of our domain to determine what that
2	information should be.
3	But if it is within our domain, we
4	encourage future purchasers to obtain that.
5	MR. DOODY: Mr. Braithwaite, what do
6	you think?
7	MR. BRAITHWAITE: Well, this is one
8	that I support.
9	I think there is a big advantage to
10	both the buyer and the seller in the general
11	marketplace in terms of how business is normally done.
12	You may not necessarily catch the bad
13	operator, but if you have got rules and regulations
14	that make it mandatory that they have to disclose that
15	to the lawyers of the purchaser that are doing the due
16	diligence, then lawyer on the due diligence side of the
17	purchaser won't always necessarily rely totally on
18	those documents, but they will use it as background
19	information and decide if they have to in fact go in
20	and do an update and study to satisfy themselves that
21	what they are buying here is not a pig in a poke.
22	In other words, they know what they
23	are getting.
24	But I think this is one area that I
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1	I think it would improve the operation
2	of the marketplace; and I think it is information that
3	if we felt there was a need on the public side, that
4	they could piggy-back on it because that information
5	isn't going to be available.
6	MR. DOODY: Mr. Froebelius?
7	MR. FROEBELIUS: In our submission we
8	wrote the clause a little bit and limited it to
9	structural.
10	When we circulated it, the feeling was
11	that it could be onerous to put an obligation on owners
12	to produce something over the history of the property.
13	So you know, in fairness, if a
14	property is 30 or 40 years old and a current owner has
15	had it for seven or eight years, it could be onerous
16	for them to have to produce reports from previous
17	ownerships et cetera.
18	So I think it would have to be limited
19	to current ownerships and probably limited to
20	structural or anything that affects the safety of the
21	public.
22	So for example, you could have an
23	engineering report done on this complex if it was going
24	to be sold.

And the engineering report, it might

1	be related to the heating ventilating and air
2	conditioning systems and there might be something that
3	says you know, the main room is great as long as it is
4	limited to 500 people.
5	But if you go to 700 people, there is
6	not enough air conditioning to cool the room or
7	whatever.
8	Is that something that is necessarily
9	something that has to be a requirement in the public
10	domain?
11	Or is that something that is a
12	proprietary business issue?
13	So there are lots of engineering
14	reports that could be associated with the building, and
15	which of those should be in the public domain I think
16	is debatable.
17	But anything to do with public safety
18	should be.
19	MR. DOODY: And for the HVAC example,
20	if the purchaser wanted to make that a condition he
21	would be free to put it into the agreement, and the
22	vendor could say "yeah" or "neigh".
23	MR. FROEBELIUS: Right.
24	MR. DOODY: Ms. Lewis, do you have a

comment on this suggestion:?

1	MS. LEWIS: No, I don't think I do.
2	MR. DOODY: Okay.
3	Mr. Stein?
4	MR. STEIN: In our submission, we
5	grouped this question with a few of the others that
6	have to do with mandatory disclosure and that type of
7	thing and relating it to the system is already in place
8	between two contractual parties, so I don't have
9	anything more to add than what I said earlier.
10	MR. DOODY: In terms of how you would
11	bring it about, I think several people have said it
12	that right now it would have to be a condition of the
13	agreement of purchase and sale.
14	But it would be certainly within the
15	jurisdiction of the legislature to enact legislation,
16	probably you would need legislation to insert such a
17	requirement in every transaction.
18	If somebody here has a good idea as to
19	how to achieve it other than by legislation, we would
20	be delighted to hear about it.
21	Nobody has any great ideas?
22	MR. FROEBELIUS: The real estate
23	boards are, you know, like RICO and ORIA, they do have
24	standard
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MR. DOODY: Standard, yes.

1	MR. FROEBELIUS: Which might be an
2	avenue.
3	MR. DOODY: Which is how your
4	formaldehyde standard clause found its way in, it is in
5	those standard agreements, but not everybody uses them.
6	MR. FROEBELIUS: Right.
7	MR. DOODY: Question number 6:
8	"Should any and all engineers and architects who have
9	provided services to an owner of a building make
LO	available all information in their possession to
L1	successor engineers or architects requesting such
L2	information?"
L3	Should there be such an obligation?
L 4	The City of Toronto had indicated that
L5	that would be something that would be supported and it
L 6	could be done by putting it in the professional
L7	standards obligations of the engineer or architect.
L8	Can you share with us the City's views
L 9	and reasons for that suggestion?
20	Ms. Borooah?
21	MS. BOROOAH: I think in response to
22	this and other questions, particularly in the context
23	of the first Roundtable yesterday, we think that it is
24	a matter of practice and certainly in the risk and

liability regimes that have applied two professionals

engagement.

1	to date, that records are kept for a long period of
2	time, even after some of the principles have passed
3	away and their heirs and the science may in fact have
4	some liability associated even in cases that occur at
5	that point.
6	So we think that it would be
7	reasonable to look at their standards of practice for
8	both retention and sharing of documents, particularly
9	sharing, that have that maybe it's a discussion for
10	tomorrow, but that it would support the other
11	recommendations we have discussed yesterday and today.
12	THE COMMISISONER: Has that question
13	been put to PEO?
14	MR. DOODY: I am not sure.
15	They will be here tomorrow.
16	Mr. Froebelius?
17	BOMA's submission said yes, with the
18	consent of the present owner.
19	Can you explain the rationale behind
20	that?
21	MR. FROEBELIUS: The idea was that if
22	I engage an engineer to perform a report on our behalf
23	or on our company's behalf that that report I think is
24	private in a way to that company and to that

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If it is to be available to successors then it would have to be under the permission -- I think this is really in the domain of tomorrow's discussion.

I think the OAA has regulations in

I think the OAA has regulations in this regard and I know that PEO does as well.

But I think it would be best for them, but I would say that right now in our world that if we engage an engineer to do a report, if we had done a condition assessment on a garage, potentially we were not happy with the findings, say, and we wanted to have another engineer do that, that engineer has to converse with the engineer that did the first report.

It is professional practice that you have to inform the engineer who did the original that you are reviewing their work and/or commenting or taking it further.

So I think that there is a mechanism in place for that type of exchange and it could be extended.

Again, in the interest of public safety, we would support that.

MR. DOODY: But because the requirement for consent of the owner, when I read that, my reaction was that that explicitly allows the owner

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1 to keep that information secret.

And as I say, we have already heard evidence from at least one owner that that information, if it was shared it would be subject to very, very strict controls and the question is, where there is public safety issues doesn't that trump the owner's privacy concerns?

MR. FROEBELIUS: Yes.

But there are, again, other engineering reports where I don't think that that would be a concern.

MR. DOODY: The safety issue would be the limiting factor.

MR. FROEBELIUS: Right.

And that would tie back again to the requirement of those reports in our response to Question 4, you know, any authors of any reports that are prepared that contain any findings or information that could cause harm to the public should be required to notify the owner immediately of any concerns and require them to notify the authorities having jurisdiction immediately if there is an imminent threat. That type of thing.

MR. DOODY: Mr. Braithwaite?

MR. BRAITHWAITE: I am of a similar

1	mind.
2	I think that it should be with the
3	owner's permission.
4	I think there should be legal language
5	that not too unreasonably withheld sort of thing.
6	But I think it improves the overall
7	communication between the current circumstances in the
8	given building with a history of how it was put
9	together initially and helps the transition in terms of
LO	looking forward.
L1	MR. DOODY: So you would put in, not
L2	to be unreasonably withheld, I understood Mr.
L3	Froebelius to be saying that it would have to be
L 4	disclosed in respect of issues of public safety without
L5	the necessity for consent.
L 6	Was I misunderstanding you, Mr.
L7	Froebelius?
L 8	MR. FROEBELIUS: If there was public
L 9	safety issues involved then not to unreasonably
20	withheld, it would be unreasonable to withhold if it
21	was public safety, would it not?
22	THE COMMISSIONER: Wouldn't the
23	engineers today say we have that responsibility as it
24	is?

MR. FROEBELIUS: I think they would

1	say that now.
2	THE COMMISSIONER: Regardless of the
3	owner's view of the situation on confidentiality.
4	MR. DOODY: That might have to be
5	explored tomorrow.
6	But I think that you might hear them
7	say where there are existing imminent safety issues
8	rather than safety issues which are perspective if you
9	don't do something.
10	Ms. Lewis, from the Province's
11	perspective, do you have any comments on this?
12	MS. LEWIS: On making all information
13	available?
14	MR. DOODY: Yes. In requiring
15	engineer "A" to give any and all reports, at least
16	dealing with structural safety issues to engineer "B",
17	looking at the same building.
18	MS. LEWIS: Again, we are listening
19	closely to see what recommendations are made.
20	But some of the considering factors
21	that we would be looking at are similar to what has
22	been raised, what level of disclosure is needed to make
23	sure that the structural integrity of the building.
24	So those imminent versus those that
25	are potential, those are all the same considerations

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that we would be looking at if the recommendation was to make the requirement a law.

MR. DOODY: Do you think the same considerations would apply to making these reports public as to requiring them to be passed on?

In other words, should there be a broader requirement to pass on than to make public?

MS. LEWIS: I think you would have to look at those -- any recommendations that Commission is looking at, you are going to have to look at it in a whole, not only what has been discussed today, but what was discussed yesterday.

If there is mandatory periodic inspection requirements, part of the policy that government would be looking at was how do you measure compliance, the building history over time.

All of this is going to pertain to it, so I don't think you can look at one thing in isolation of the other.

To make good public policy now, we are going to have to look at it from the beginning to the end and what is the history and how best do you make that.

Part of the implications that we would be looking at is also the impact and the capacity of

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1 how you are going to implement this.

So if you are looking at periodic inspections, government would have to look at how you would phase this in because the capacity of the sector to be able to handle the upload if every building had to be inspected in Year 1, I don't think there is enough engineers to do that and you would have that cyclical responsibility.

Again, if you are going to disclose everything how do you wade through to determine the relevant information?

There could be a number of factors that are indicated within a report that may need maintenance that are the responsibility of the building owner, but does not have imminent potential danger to the public.

Again, ---

MR. DOODY: Why would imminent be the issue?

Because if imminent was the standard, none of the reports that we have seen in Elliot Lake would have had to be passed on, disclosed, registered, anything.

And yet almost all of them said not imminent, but it's coming.

1	MS. LEWIS: Well, that is part of
2	what we were discussing yesterday also is what
3	information then needs to be passed on to the building
4	official who has responsibility for enforcement?
5	How do you do that?
6	Phased in implementation of the life
7	cycle of a building to ensure that the proper
8	maintenance is required?
9	Perhaps that would be looking at how
10	best to make those previous records available.
11	Is it the responsibility of the
12	building owner?
13	When does the onus shift over onto
14	another party?
15	Those are all the considerations that
16	we are going to be looking at too.
17	MR. DOODY: Ms. Borooah, you had your
18	hand up?
19	MS. BOROOAH: Well, in answer to your
20	last question,
21	MR. DOODY: Which was?
22	MS. BOROOAH: Which was do you think
23	this is a bit different than a public registry?
24	I would like to answer that question
25	that I think it is different than a public registry and

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that I think this really goes to professional obligations, keeping in mind that these are self-regulating bodies and they do have mechanisms within them to make this sort of thing happen.

I am not an expert and all of these standards I notice in reading the Professional Engineer's submission for tomorrow's discussion, there is discussion about the circumstances, when they would make documents available or is there is an obligation to disclose, that it would not be as big a departure as some of the other ideas that we have been discussing to place the onus on professionals to share all and any reports, because I think that goes to one of the fundamental issues the Inquiry investigated, that the long history of reports was not available when required, without adding a big additional regulatory mechanism to make it work.

So I think it's a very good question to ask the professionals, if there is some reason not to do this.

And maybe it goes to the disciplinary systems and so on that they think they would be exposed.

But from a public policy point of view, I think that this would be a relatively easy

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1	mechanism to implement if it is not already partially
2	in place.
3	MR. DOODY: Mr. Shaw?
4	MR. SHAW: I would agree with Ms.
5	Borooah's statements in regards to the requirement for
6	engineers and professionals to disclose the
7	information.
8	And it has been brought up a couple of
9	times and I guess the Commission is looking for maybe
10	some clarity in regards to imminent risk.
11	There are two orders under the
12	Building Code Act.
13	One is for an emergency order, which
14	identifies a clear, imminent risk.
15	The other one is an unsafe order.
16	Under the unsafe order and I won't
17	quote it verbatim but where it does not meet the
18	intended use or creates a possible health issue or risk
19	to life and safety.
20	MR. DOODY:
21	(Reading)
22	"A condition that could be
23	hazardous to the health or safety
24	of persons in the normal use of
25	the building, or structurally

1	inadequate or faulty for the
2	purpose for which it is used.'
3	MR. SHAW: So I was pretty close.
4	MR. DOODY: You were very good.
5	MR. SHAW: But it identifies "could
6	be"
7	My question is as building officials
8	we do not want to be inundated by loads of information.
9	What we need to do is we need to have
LO	the information provided to us that could be a safety
L1	issue.
L2	I guess as the OBOA we are looking for
L3	that information from other professions in our
L 4	bailiwick, we rely on professional engineers to provide
L5	that information to us with their professional
L 6	opinions.
L7	We do the same with the architects.
L 8	If there is a requirement to provide
L 9	where they perceive a safety issue, I think it is
20	within our mandate as building officials to review
21	those documents and make that determination.
22	MR. DOODY: Mr. Huxley?
23	MR. HUXLEY: Certainly AMO's
24	submission is that municipalities should have access in
25	a timely manner reliable information relating to a

building
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Reliable information would appear to be based on a foundation of full disclosure.

I think we get into problems where an owner is picking and choosing what information is related to a subsequent professional, because that will impact what information a municipality will get at the end of the day.

So in principle the sharing of information between engineers or architects, I submit, is a reasonable one.

So at the end of the day the full picture and the history of a structure or building can be advanced through an analysis that is cumulative.

MR. DOODY: Do I understand what you are saying is that you would support a broader obligation to pass on information to a subsequent engineer?

In other words, more information ought to be passed on to subsequent engineers than ought to ultimately be required to be given to the City or posted on a registry.

MR. HUXLEY: Yes. And at the end of the day I think that would assist any officials, inspectors that would be looking at a particular matter

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registry or whatever.

1 when called upon. 2 MR. DOODY: Right. Does anybody see a problem with that? 3 In other words, not be so limiting in 4 5 terms of what engineer "A" has to pass on to engineer "B" because the more information the better, at that 6 7 stage, without suggesting that what has to be made 8 public or posted or whatever should be the same 9 information. 10 Am I making myself clear or am I 11 getting puzzled looks? MR. FROEBELIUS: 12 I am a little fuzzy 13 on that actually. 14 MR. DOODY: As I understood it, what Mr. Huxley was saying was it makes sense to require a 15 16 very broad amount of information to be passed on from 17 engineer "A" to engineer "B", and so it should not 18 necessarily be limited to only structural safety 19 issues, because both are professionals who are dealing 2.0 with giving an opinion to the owner. 21 So the more information the better. 22 That may not be the same information

But at that stage it is just a sharing

that is required to be disclosed or given to put on a

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1	of information, why limit it to only structural safety
2	issues?
3	What is the harm?
4	MR. FROEBELIUS: Typically that
5	engineer would only be it would be a structural
6	engineer that would be engaged with another structural
7	engineer.
8	So it would likely just be structural
9	issues that they are talking about.
LO	MR. DOODY: Maybe.
L1	MR. FROEBELIUS: It's not like a
L2	broad
L3	MR. DOODY: I mean in a practical
L 4	sense. Do you redact the stuff from the engineer "A"'s
L5	report before engineer "B" sees it, or do you just give
L 6	the report over?
L7	I would have thought the latter, just
L8	give the report over.
L 9	What's the harm?
20	Mr. Stein, do you have any views on
21	this?
22	MR. STEIN: We didn't comment on that
23	particular question in our submission.
24	I don't have anything more to add than
25	what the other members of the panel have said.

Τ	MR. DOODY: Ms. Borooan?
2	MS. BOROOAH: I just wanted to
3	emphasize one word here, which I wasn't hearing and
4	what everybody is saying, and that is "requesting."
5	So we are not placing a positive onus
6	on a professional to hand over everything to an unknowr
7	future engineer or architect.
8	You are saying "upon request."
9	So if the new professional wants to
10	obtain information, there should be an obligation to
11	provide it.
12	MR. DOODY: Yes. And I think the
13	word "request" was in there because how would engineer
14	"A" even know that engineer "B" had been retained?
15	But that's the suggestion, either way.
16	MS. BOROOAH: Except through the
17	owner.
18	MR. DOODY: Except through the owner,
19	right.
20	And all these things, there is always
21	going to be some potential for less than complete
22	coverage, which is why you have to have belts and
23	suspenders in some cases.
24	Mr. Commissioner, lunch is now ready.
25	We have got two more substantive

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questions and then the top 5 list.
I anticipate that they won't occupy
the entire afternoon, but if we could take lunch now
and come back.
THE COMMISSIONER: 1 o'clock?
MR. DOODY: 1 o'clock, sure.
THE COMMISSIONER: And then we can
hold out the hope of an early termination and quicker
return back home.
MR. DOODY: And get those earlier
flights back to wherever it is you came from.
THE COMMISSIONER: Sure. 1 o'clock
folks.
LUNCHEON RECESS AT 11:50 A.M.
UPON RESUMING AT 1:00 P.M.
MR. DOODY: Good afternoon.
The next question on the list of
questions is: "Should municipal governments be required
to document all oral and written complaints, even if
the person wishes to remain anonymous? Should
municipal governments be required to keep a public
registry of all property standards bylaw violations
that deal with the safety and soundness of a building

structure, including any follow up action taken by the

municipality and remedial action taken by the owner or

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I	
1	municipality?"
2	Who would like to weight in first?
3	Mr. Huxley, would you like to say
4	something?
5	MR. HUXLEY: Yes, thank you Mr.
6	Doody.
7	With respect to this issue of
8	complaints, I think it may be a question of if it's not
9	broke it does not need to be fixed.
10	The procedures for municipalities as
11	to how they deal with by-law enforcement and how they
12	deal with complaints will necessarily vary from
13	municipality to municipality based on operational needs
14	and resources.
15	With respect to anonymous complaints
16	or even those that are not, the complaint coming to the
17	attention of the municipality is typically what
18	triggers a consideration for enforcement or inspection.
19	At the end of the day the complaint
20	largely becomes irrelevant or the complainant becomes
21	irrelevant because the municipality lacked upon that
22	complaint appropriately, according to its procedures,
23	and if it is property-related, whether it be building

code or zoning or whatever it may be, it is the concern

or the observations of the inspector or the officer

that is relevant.

There is a concern that AMO had put in its submission that if there is going to be a registry of these complaints, or treating anonymous complaints differently, that may have an impact on existing enforcement procedures.

Certainly municipalities encourage residents to come forward if there are concerns.

If there is a concern that complainants are going to be identified either through a court process or through an access to information process; that may reduce the number of complainants coming forward.

So I think that the procedures are there in place.

Again, I do not know if there is one answer that fits all municipalities and certainly I think it seems to be working in municipalities on how they deal with their particular needs.

MR. DOODY: I think the genesis of this question related in part to the evidence we heard, that in Elliot Lake the policy adopted by resolution of the City Council was that enforcement of the Property Standards By-Law was complaint driven, but there had to be a complaint.

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1 And that there was differing evidence 2 from the witnesses from the City as to what that meant. Some of the witnesses, including a 3 prior Chief Building Official, testified that it had to 4 5 be a written complaint almost in the proper form with the right boxes ticked off and filed in the right 6 7 place, and without that they not only would not, but 8 could not investigate whether or not the Property 9 Standards By-Law was breached. 10 Others said an oral complaint was 11 okay, others said the written -- it had to be a 12 complaint that was actually directed to the building 13 officials or Property Standards Officer's department. 14 There was absolutely no consistency 15 among the employees who worked for the City in this 16 area, as to what it meant. 17 And there was also let's say on the 18 part of some witnesses, scepticism as to whether or not 19 the City was in fact investigating complaints. 2.0 And so I think that that was part of 21 the genesis of this. 22 In other words, again, responsible building officials like responsible building owners you 23

don't really need to regulate about.

But is there a need to allow the

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public to know what complaints have been made in order to ensure that the City officials are doing what they ought to do.

And I think also part of it was that much if not all of this information is going to be available through MFIPPA in any event.

It's just it is harder to find, you have got to ask the right question and get provided with the information, so why not make a registry?

I think those were sort of the concerns that gave rise to this question.

MR. HUXLEY: Certainly one of the tools -- and again, it is resource dependant and that will vary -- but certainly one answer or solution may be, and a number of municipalities are adopting this as a matter of service excellence so that if a complaint is made by a member of the public, that member of the public is advised generally of what the outcome of that is.

It has been received, it has been documented in some way and if a municipality has the resources to be able to respond to that constituent or resident to say your complaint is being forwarded to this avenue.

So that is what a number of

	1
1	municipalities are looking
2	to track complaints in tha
3	complainant specifically.
4	Not neces
5	large, although there are
6	available.
7	And I al
8	opportunity to indicate ye
9	by-law enforcement is comp
LO	largely due to resources,
L1	target active enforcement.
L2	And a rec
L3	the City of Ottawa has tar
L 4	on vacant buildings, which
L5	property standards concerr
L 6	And that
L7	through reports to Council
L 8	enforcement that has been
L 9	So again,
20	municipalities identified

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municipalities are looking at in developing resources to track complaints in that regard and get back to the complainant specifically.

Not necessarily the general public at large, although there are statistics that are made

And I also want to take this opportunity to indicate yes, while generally speaking by-law enforcement is complaint-driven and that is largely due to resources, some municipalities may target active enforcement.

And a recent example I give would be the City of Ottawa has targeted an active enforcement on vacant buildings, which have presented a number of property standards concerns.

And that information is being relayed through reports to Council as to the number of active enforcement that has been undertaken.

So again, that is based on a specific municipalities identified need and is based on that particular municipalities resources.

THE COMMISSIONER: What is your concern with anonymity?

I understand why people would want to remain anonymous.

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1	The police do it every day and
2	register these complaints on an anonymous basis, and
3	that's not a problem for them.
4	MR. HUXLEY: Again, in creating a
5	registry of complaints again is simply another issue of
6	resources for a municipality.
7	I think there are other tools that
8	could be looked at, and that is simply responding to
9	the complainant to show that that response it being
10	made.
11	And I think there is some value to see
12	that, okay there may be a particular issue in a
13	particular neighbourhood. If that is being seen to be
14	addressed; that may serve more of a helpful purpose
15	than a registry of complainants.
16	So it is allocating your resources, I
17	think, that would be a municipality's perspective.
18	Do you put the resources in responding
19	to the complaint and enforcing it, or is it in the
20	other component and the costs of maintaining the
21	MR. DOODY: I am not sure I
22	understand the resources point.
23	Surely if somebody makes a complaint
24	to the City, the City has to record that somehow.

MR. HUXLEY: Again, it varies from

1	municipality.
2	It depends how a complaint is
3	received.
4	A complaint can come in a variety of
5	formats to a municipality
6	MR. DOODY: How can a municipality
7	decide what to do with a complaint if they do not even
8	write it down?
9	Honestly, I don't understand that.
10	You go to a police officer and you say
11	somebody has done such and such.
12	At a minimum the officer, he or she
13	will write it in his or her book.
14	But in a municipality where you have a
15	property standard by-law, before somebody can decide
16	what to do about it, don't they have to record the
17	complaint somewhere?
18	And then how is it a resource issue to
19	put those complaints in a central database?
20	MR. HUXLEY: Well, again, depending
21	on how the avenue of how a complaint comes to the
22	attention of a by-law officer, maintain a tracking of
23	how that complaint came to the officer in many respects
24	is irrelevant for that officer.

If that officer is able to view the

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1	property and carry out an inspection, that's where the
2	trigger started.
3	MR. DOODY: But the officer has to
4	write it down somewhere.
5	He does not keep it in his head.
6	There has to be a record of the
7	complaint somewhere.
8	MR. HUXLEY: That may be simply
9	limited.
10	There is a complaint relating to a
11	particular address, and that is maybe the extent of
12	what is recorded.
13	MR. DOODY: I have got to say, I am
14	shocked, really.
15	Because how could you say there is a
16	complaint about such and such without saying the
17	complaint is that it is leaning dangerously and it may
18	be about to fall down, or whatever it is.
19	Surely any sound organization would
20	require that, wouldn't it?
21	MR. HUXLEY: Well again, it varies.
22	The information could be more
23	specific, but simply coming from a member of the public
24	that does not know, but simply is concerned that there

might be a property standards deficiency or other type

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of by-law deficiency at a property is asking someone from the municipality to attend, resources permitting, that may occur and the municipality will look at the property and decide if there are any violations.

THE COMMISSIONER: It seems to me that having a simple register, handwritten, saying you know, showing date, time, the complainant, either identified or not, the nature of the complaint and the action taken.

I cannot see that as being a huge cost issue.

MR. HUXLEY: And practically speaking, many municipalities have a system, it's a 311 system which seems to be a portal into the municipality and a number of these complaints are registered that way. And there are service request numbers given and they are tracked in that fashion.

But again, to have a mandated mechanism for how complaints are dealt with, many municipalities will have a 311 system, but complaints can still be brought to the attention of a municipal official and redirected internally and acted upon.

MR. DOODY: The genesis of this is members of the public are going into, in this case, a shopping mall.

1	If the members of the public wanted to
2	know if there had been any complaints about the
3	shopping mall, they could get that information under
4	MFIPPA, couldn't they?
5	MR. HUXLEY: Correct, yes.
6	MR. DOODY: So what a central
7	registry would do is just make it more readily
8	available, wouldn't it?
9	MR. HUXLEY: Again, I think the
10	access to information process that has been alluded to
11	earlier is a relatively efficient process that all
12	municipalities are governed by.
13	It is a system that is relatively
14	inexpensive for a member of the public to access.
15	MR. DOODY: You have to have a
16	written request which has to be submitted in the right
17	way.
18	Is there a fee?
19	MR. HUXLEY: There is typically a
20	\$5.00
21	MR. DOODY: So they have to pay \$5.00
22	and they have to submit it in writing.
23	This information is readily available.
24	You can have a system easily set up
25	where you type in the record of the complaint for

1	municipal purposes and it gets posted on the database.
2	MR. HUXLEY: And again, what is that
3	database going to represent?
4	Is it going to be specific to identify
5	a particular property and/or a particular person?
6	And then we get into the other side of
7	access to information. We get into the protection of
8	privacy.
9	Those are issues that municipalities
10	balance all the time.
11	Yes, we want to provide information to
12	the public, but there is the balancing factor of are we
13	simply now entrenching upon someone else's right
14	MR. DOODY: There is no privacy
15	concerns under MFIPPA for a complaint in a particular
16	building.
17	Is that not correct?
18	Privacy issues arise out of personal
19	information as defined in the Statute.
20	And that does not include complaints
21	about a building, does it?
22	A building that the public has access
23	to?
24	MR. HUXLEY: Generally, I would agree
25	with that, but there may be some issues that had to be

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1	looked at further.
2	There may be particulars that may
3	identify a particular individual.
4	In your example about a shopping
5	complex, no, it is probably a corporate entity and it
6	is a public building.
7	Those variables may not come into
8	play.
9	But again, a number of by-law issues
10	deal with residential properties.
11	Those would be factors that a
12	municipality would have to take into consideration as
13	well.
14	MR. DOODY: Ms. Borooah?
15	MS. BOROOAH: Once again I hesitate,
16	however.
17	I think the discussion is a difficult
18	one if the question is why not register this
19	information, because it seems to assume some level of
20	consistency in adoption and enforcement that would lead
21	to a mandatory system of registration.
22	So as we have described earlier, the
23	property standards enforcement, in particular, is a
24	discretionary scheme.

What level of service you provide to

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1 respond to complaints is not articulated anywhere. 2 So by contrast, say, during 3 construction there is a requirement around responding to a request for inspection and you are supposed to 4 5 respond within two days and would be well-advised to keep a record of that inspection, right? 6 7 So you could expect some consistency in what the records would be associated with that 8 9 practice across municipalities because the practice is 10 the same, consistent. It is legislated. 11 But property standards are not. 12 And I think the Caselaw, which I am 13 not terribly familiar with, nor a lawyer, but the 14 Caselaw suggests municipalities have discretion about 15 how they enforce their by-laws. 16 So if you start from that premise, 17 prescribing how they disclose the records, would really 18 be at the end of process, as opposed to at the 19 beginning. 2.0 It kind of assumes some consistency of 21 what that process might be at the outset. 22 And I think many of us previously have said this practice or this discipline does not lend 23

itself to that level of consistency, certainly today.

So our submission suggests that where

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there is a record of the activity, it is a public document anyway and can be disclosed.

And as I have said, yes, applicable to freedom of information legislation, but many municipalities would disclose some or all of that information routinely, and maybe electronically.

But to suggest that you can tell municipalities how to do that when what you are doing is saying they may by their own option adopt a property standards by-law that may cover all kinds of different things, and they say but whatever you do, when you get a complaint about it you have to do it the same way, does not fit the scheme.

I think that it is not so much how do you get access to the record once you have a complaint, it is how does that fit into the overall property standards system?

MR. DOODY: I think that is one of the genesis of the question.

Because we had evidence in Elliot Lake that complaints were made, which may or may not have made their way to the Property Standards Official, and if they did they were not dealt with at all or adequately.

I am not saying that is the

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conclusion, but there is some evidence that could justify that finding and the answer is it is discretionary.

First of all, it is complaint-driven, and secondly it is discretionary.

So this question is being mooted as a way of allowing the public to keep tabs, so to speak, on the property standards office because of the concern that the way in which the property standards official is exercising his or her discretion, which could have a direct impact on the safety of the public, is something that is of public interest.

In other words, it is something the public may have a right to know because it could affect their safety.

Some would say that the evidence we heard in Elliot Lake was that the attitude or the way in which the discretion was being exercised was subject to some criticism.

And if that was exposed to easier public view by a public register like this, it might have had a modifying effect on the discretion.

Some may say you don't want to modify the discretion, but others would say that it's not a bad idea because it might make people safer.

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MS. BOROOAH: I guess I just say to that that you know our responses yesterday suggested that that would be a big leap to go from where we are today to some kind of mandated system which would have service levels that are implied by this particular suggestion.

That a complaint has to be registered and has to be documented and has to be disclosed would be the performance rules suggest.

None of which is in law today, so just the disclosure of the record is, at the end of the day, so I guess what we had said yesterday, I won't say everybody, but a number of us had said is that that is going too far, in our view, and that the way to secure public safety around this type of building element is through the idea of a periodic review.

And those would be municipal documents where there is a problem identified, and that would be disclosable and that is where we would stop in terms of prescribing this because it is very difficult to add this on to the kind of system property standards would be today.

MR. DOODY: And some might say that right now there is a complete absence of protection, enforceable protection, because of the combination of

legislation.

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the discretionary nature of the property standards bylaw and the unreviewability of the discretion of the property standards officer if there is such

There is no protection now at the public level.

There is some private contractual protection through the due diligence process, but in terms of government, there is no mandatory protection at all.

And so even the periodic inspection and posting of the reports would not impose any obligation on the municipality and there are certainly people who have expressed the view to us that this is a) surprising, and b) worrying to them.

Mr. Shaw?

MR. SHAW: The OBOA takes the belief that in regards to documenting all received complaints, it is reasonable to expect a municipality to document the complaints.

We do, however, caution in regards to allowing flexibility for enforcement within the municipalities and we proposed rather than mandating specifics, perhaps the idea of requiring municipalities to adopt an enforcement policy or procedure, which then

would have to be publicly available to both citizens and employees.

In regards to my own expertise, having done both building and by-law enforcement, I would like to also bring forward the fact that under the *Building Code Act* if an unsafe condition exists, I think there is an obligation, whether anonymous or written, exists with the chief building official to investigate.

Just through our due diligence and our regulated authority, when we get into other by-law complaints, I have to agree with my associates here that when you are going by-law enforcement there is various reasons for people to lodge complaints and in regards to certain legislative requirements in regards to show grounds upon entering on a property.

Sometimes anonymous complaints will be taken as not substantial enough to enter onto property.

There is harassment issues in regards to if it's an anonymous complaint.

Quite often municipal employees and municipalities will get charged or claims of harassment.

So there are reasons why these policies do make sense in the actual application in the real world.

However, I truly believe that if a municipality was required to develop a policy, that very few councils would pass a by-law stating that in a case of life and safety they would not deal with a complaint, anonymous or any other type.

MR. DOODY: Your suggestion is that municipalities be required to develop a policy to deal with complaints?

MR. SHAW: I think that if municipalities were given the ability to deal with complaints through a policy adopted by council and approved by council, it would essentially do the same thing in regards to proving clarity in how complaints will be dealt with.

In regards to responding a checking up, I can tell that as a matter of fact that if an individual does not feel that their complaint is being dealt with there is a number of ways, we talk about freedom of information, quite often a call to the mayor's office or another councillor suggesting that staff is not doing their job will get just as much attention as a freedom of information.

The unfortunate part is that in these circumstances where the individual chose to deal with the Elliot Lake situation in a property standards form

speaks to our requirements in notifying when we hit structural issues the necessity to pull in the building official who would deal with it under an unsafe and I believe the individual should have a regulatory requirement to investigate what they perceive or what has been received as an unsafe order.

MR. DOODY: In Elliot Lake the complaints were not that the building is unsafe or that there is a structural problem.

The complaints were it's leaking, constantly, for 35 years it was leaking and there were a number of complaints.

The by-law said buildings are supposed to be watertight.

The municipal official was both the building official and the property standards official.

And so it would have required that he use his knowledge and training to say well if there is 35 years of leaks, there is a potential structural issue.

But the citizen, I would have thought, is not to be expected to understand that, they just have a complaint that the building leaks and it has always leaked.

MR. SHAW: I would agree that

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1	initially it probably started out as a property
2	standards issue in regards to a leaky roof.
3	At some point in time we have to rely
4	on the ability of individuals to conduct their jobs in
5	a professional manner using expertise, knowledge and
6	training.
7	Whether that is lacking in Elliot Lake
8	or not is not for me to decide.
9	But I believe that an individual who
10	did their due diligence in regards to following up may
11	have found it to be a structural issue at some point ir
12	time, prior to the collapse.
13	MR. DOODY: Mr. Braithwaite, do you
14	have any comments from your experience?
15	MR. BRAITHWAITE: Not directly. I
16	can't say that I have got specific experience in that
17	area.
18	So I will just pass.
19	MR. DOODY: Mr. Froebelius, from the
20	viewpoint of a building owner or manager, do you think
21	that there is an issue with respect to complaints about
22	a particular building being maintained on a central
23	database?
27	MP FPOFRETITIE: First of all T

would just like to comment on the fact that I think

there is actually two parts to the genesis of why this question is here.

And that is that you know, someone called the municipality and the fact that the municipality did not take action, you know I don't think that can be helped by the fact that you would have some kind of public database.

I mean, as Alan just said, you know at some point you have to rely on the people that are in those positions to do what they are trained to do and in the role that they are taking on.

So you know, for example, and I know this is extreme, but should there be a public record of all 911 calls, so that the public can monitor whether or not they think the response is appropriate? I don't think so.

I don't think that would help what fundamentally happened at that level of government.

Unfortunately it seems like it is such a small department and it was one person doing two roles, et cetera, that probably could have led to that.

But our response to the question really was focussed on this anonymous aspect.

So for example, as Stuart was saying in the 311 system now in the City of Toronto, if I call

the door the green --

1	and I was to register a complaint, I would get a ticket
2	number.
3	Once that ticket number is issued, I
4	could call back and say 'hey what was happening or what
5	happened?'
6	Typically they would call you back and
7	say 'this is what was done and this is what happened',
8	which is a great system.
9	I don't think that there is a need to
10	then take it a step further and publish all of those
11	complaints or requests in a public forum so that people
12	can kind of double check what is happening.
13	The risk with that as a building
14	owner, I would say, is that you could get a disgruntled
15	anonymous person who feels that they are going to cause
16	a problem for a particular owner or organization or
17	company and try and use that as a way to do that.
18	That is a sad comment on taking
19	advantage of a system like that, but I think that would
20	be the concern.
21	MR. DOODY: I think it's in Toronto,
22	you will correct me if I am wrong.
23	I know it is in New York City.
24	Restaurants are required to post on

1	What is it?
2	Green, red or yellow on the last
3	inspection.
4	That obviously is intended to create
5	an incentive on the restaurant owner to keep his place
6	clean.
7	MR. FROEBELIUS: Sure.
8	MR. DOODY: Would a public registry
9	of complaints not serve a similar sort of purpose?
10	MR. FROEBELIUS: I think it's such a
11	broader realm of complaints that could be included
12	there. It gets difficult.
13	If it was something specifically to do
14	with safety, public safety, then sure.
15	But it could be such a broad brush of
16	complaints. That's where I think it gets very
17	difficult to manage.
18	MR. DOODY: But it is all publicly
19	available anyway.
20	Just right now they have to work at it
21	to get it.
22	MR. FROEBELIUS: Sure.
23	But why do I want it if the whole
24	process of having access to that information is set up
25	with checks and halances to make sure there is a way to

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1	get to that information, and if it is just published
2	online for someone to access, that's a little different
3	too, I think.
4	MR. DOODY: Ms. Wharton-Szatan, from
5	the viewpoint of the MOL inspector, would it be of
6	assistance for him or her to have easy access to a
7	registry of complaints about the workplace, the
8	building in which the work was carried out?
9	MS. WHARTON-SZATAN: Well, currently
LO	the Ministry has a call centre that takes complaints
L1	from the public and workers in the workplace.
L2	We take oral and written complaints
L3	and document and we keep all those records.
L 4	Our inspectors act upon those
L5	complaints once received.
L 6	So they have a system in place
L7	already.
L8	MR. DOODY: And is there a register
L 9	of complaints so that members of the public can find
20	out what complaints have been made about a particular
21	work site?
22	MS. WHARTON-SZATAN: If a member of
23	the public wanted information about a particular
24	workplace or address, they could put that request in

through our MFIPPA and our field information office and

Looking at it from the

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1	get information back about what action or activity has
2	gone on in that workplace by our inspectorate.
3	MR. DOODY: And would there be
4	problems in rather than requiring the citizen to do
5	that, to have the information readily available in a
6	central database?
7	I assume the MOL has these records,
8	that they are maintained.
9	MS. WHARTON-SZATAN: Yes, the records
10	are maintained, I think you know if there is an
11	investigation or ongoing prosecution that information
12	would not be readily available.
13	And if it is a non-compliance issue
14	that as well could be field visit that would not be
15	available.
16	So there would have to be some
17	consideration as to whether that type of a process
18	would impact our role; that is to enforce the
19	Occupational Safety Act and its regulations.
20	So I think when we look at it from the
21	worker perspective and the Ministry's mandate to set,
22	communicate and enforce its Act and Regulations, I
23	think our current process serves that.

MR. DOODY:

other end of the lens though, would the inspector be

assisted if he or she could easily find out if there

2	had been complaints made to the municipality because
3	people could complain about unsafe building to the
4	municipality or to MOL.
5	In fact, that happened in this case.
6	There were complaints to both the
7	municipality and to MOL.
8	MS. WHARTON-SZATAN: Yes, of course
9	our inspector would be assisted in that, but I am sure
LO	our inspector could also contact the chief building
L1	official and ask about the workplace and get
L2	information that way as well, I believe.
L3	I don't know if you want to speak to
L4	that, Alan.
L5	MR. DOODY: Mr. Huxley, you look like
L 6	you are anxious to say something.
L7	MR. HUXLEY: Thank you, Mr. Doody.
L8	I think the issue is, you have
L 9	mentioned various examples and I think depending on the
20	municipality there may be utility to a certain type of
21	system that you were talking about, and it may be
22	resource dependant.
23	The example you gave about the leaking
24	roof, we learned yesterday that there are almost 100

municipalities that do not have property standards by-

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1 laws in Ontario.

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I think the general theme from yesterday's discussion was that municipal property standards by-laws are not the appropriate venue or avenue to further the concerns of mandatory periodic inspections.

That appears to be something that would be more appropriately falling under section 34(2) of the *Building Code Act*.

Recognizing that, the question about discretion and how property standards by-laws or by-laws generally are enforced, it may take a different focus then.

If we are looking at the risk assessment strategy that is being discussed in the last two days and targeting specific buildings and having a mandatory regime, it may put property standards in a different light and it may allow municipalities to continue to decide whether they want to have a property standards by-law and decide to what extent they wish to regulate property standards; and also how they wish to enforce it.

I think that is the submission that would allow that flexibility for the broad range of municipalities.

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MR. DOODY: I think that the concern that gave rise to this question was, first of all, almost all municipalities that do have property standards by-laws have a requirement that the buildings be structurally sound and watertight.

Those are fairly common things to find.

And so I didn't understand, and perhaps others did, yesterday's discussion to be that the municipalities wanted to abandon the field of ensuring that buildings in their municipality were structurally sound and watertight.

If they have chosen to enact a by-law then in fact there may be political pressure on the ones who happened to do so as a result of this Commission.

Who knows?

But this question, I read it and I didn't write it, but I read it, to say well if the municipalities have chosen to put structural soundness in as one of the requirements, ought there to be a way for citizens to see whether or not there had been complaints about that in order to, as I said, to provide some measure of oversight on the municipal officials on the part of the public?

1 MR. HUXLEY: If I may, with respect to 2 your comment about municipalities role in this area. 3 AMO's approach was there is going to be mandatory periodic inspections; that would be a 4 5 minimum standard that could perhaps come from the Building Code Act. 6 7 That does not preclude municipalities 8 having existing by-laws or even more stringent by-laws 9 and we see that in a number of regulations where the 10 province has a minimum standard and municipalities may 11 have a more stringent by-law, for example, on smoking 12 in public places, for example. 13 So I don't think the two are at odds, 14 but at the end of the day the municipality, 15 notwithstanding the minimum provincial standard, there 16 still could be discretion whether to enact a by-law altogether and what that may look like. 17 18 It may be more comprehensive than what 19 is contemplated. 20 MR. DOODY: I understand that. But again, this question was designed 21 22 to say 'well, once the municipality has made that choice, should it be easy for the public to see whether 23 24 or not they are actually doing their job?'

MR. HUXLEY: I think around the table

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there would be consensus that transparency and action is taken, and I think municipalities find their own unique way and how that is relayed back from a large municipality like Toronto maybe it is a tracking system.

From a smaller municipality it may

From a smaller municipality it may simply be a more personal approach where it is followed up more directly, and I think that flexibility still has to be allowed for.

MR. DOODY: I think it's Ms. Borooah and then Mr. Froebelius.

MS. BOROOAH: Thank you.

Just on the point about the intersection with current property standards and possible new requirements around mandatory inspections.

I would agree, I don't think we suggested that property standards would be abandoned as a result.

One thing you have to keep in mind though is that property standards can be enforced different ways. They are not necessarily only complaint-driven, although that tends to be the practice.

You could adopt -- municipalities do,

Toronto does -- adopt a proactive inspection process

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for certain types of things.

Some of those are more likely, perhaps, to be affected by the idea of a mandatory periodic review that might supersede what a municipality would otherwise do, at their discretion.

I doubt very much it would cause an entire abandonment of even those provisions of the property standards which intersect and a bit of a belts and suspenders process would still be valuable probably in leading to a positive outcome.

MR. DOODY: Mr. Froebelius?

\$MR.\$ FROEBELIUS: I have more of a question, actually.

In the Province of Ontario I wonder, especially in cases where there is very small municipalities like, say Elliot Lake, is there a mechanism to complain about a municipality and how a municipality is functioning, i.e. if I have called the property standards officer at the town of Elliot Lake on three occasions about an issue and nothing happens or I am told hey, you have to fill out this form until you fill out the form et cetera, is there an overarching municipal affairs body in Ontario that you can call and say 'hey I've got this issue.'

I just wonder, even if in a case there

anything on this topic?

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1	was a public registry online, you know, if there is
2	five complaints about water leaking at this mall and it
3	is published online, is that going to still draw
4	correction of that issue?
5	No.
6	MR. DOODY: Municipalities being
7	political bodies, democratically elected, it might give
8	rise, particularly in a small town, to some action.
9	But with respect to your question, we
10	might ask Ms. Lewis.
11	I am not aware of any formal process
12	whereby a citizen who feels that a municipality is not
13	acting appropriately can get the Province to step in,
14	but is there an informal process?
15	MS. LEWIS: That is not my area of
16	expertise, but I would have to say no from what I
17	understand there is no avenue.
18	Governments are elected by their
19	constituents and as such their recourse if they do not
20	feel that their municipal employees are doing what they
21	should is to go to their town council.
22	As far as oversight and town council,
23	that is the responsibility of a local government.
24	MR. DOODY: Anybody else want to say

	Mr.
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Mr. Braithwaite?

Stein?

MR. STEIN: Just at a high level, from being in the property insurance industry being heavily regulated we think that complaints is tracking, monitoring, documenting we think is an effective way of zeroing in regulatory resources.

And we believe that the resources should be zeroed in on the more high-risk areas and that complaints appears to be -- I can't speak on behalf of municipalities, but in general tracking and documenting it, is an effective way of identifying those high risk areas.

MR. DOODY: Thank you.

Mr. Braithwaite?

MR. BRAITHWAITE: A somewhat related comment, there is some humour to this story in terms of tracking and recording.

In my role in the last four and a half years as CEO of Build Toronto, lots of meetings with various Council members of the 44 members of Council, and in particular in situations where there was a piece of land that was in their ward, and we got into interesting discussions on numerous occasions over the years in certain councillors being concerned about what

1	happened or did not happen in their ward on that
2	particular property.
3	We found in terms of going back and
4	dealing with those concerns or complaints, we will call
5	it, we had a lot of difficulty reconciling when did the
6	meeting happen or not happen et cetera.
7	And we started about a year ago
8	actually recording every call, intervention or phone
9	call or whatever from a given councillor or the other
10	way around, Build Toronto actually meeting with the
11	administration staff and the councillor.
12	We found generally the communication
13	was much more open, much more honest and much more
14	factual after we documented what actually went on.
15	So when we look at here in terms of do
16	we document complaints?
17	It isn't as difficult as it seems
18	initially, once you get into the habit and the
19	discipline of actually recording it, it does not have
20	to be elaborate, and it can be most helpful.
21	MR. DOODY: Thank you.
22	Anybody else on this topic?
23	Yes?
24	You hesitated again, but then
25	MS. BOROOAH: Just for clarity.
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I think there are very sophisticated systems for tracking and monitoring complaints in a number of municipalities, and probably commensurate with the level of sophistication of the system itself and the regulatory emphasis placed on it.

So for example, in Toronto there is a database that retained complaints which are opened as folders, on individual properties under property standards that I can access through the same system when I am looking at a building permit application or when I get a call from any entity, councillor or otherwise, to see if there is a related complaint.

So it is not that such systems do not exist. They do.

But they should not be considered lightly in the sense that they do reflect a fairly high level of sophistication associated with that activity to have an effective tracking system, especially one that you could disclose, which is a whole other level of sophistication.

And I think it is correct what my colleague from AMO said, that there are matters on those documents that are personal information that have to be redacted in order for the information to be disclosed.

it may be.

1	And I believe there are situations,
2	and often complaints are part of litigation, they get
3	redacted for those purposes too.
4	So I think what we heard from AMO
5	MR. DOODY: Redacted for the purpose
6	of litigation?
7	MS. BOROOAH: If there is legal
8	advice or issues related to litigation on the folders,
9	which there often is, especially if it is a complaint,
10	because it eventually leads to a legal process, or
11	prosecution or something like that, that information
12	cannot just be automatically posted.
13	It has to be analysed for that
14	purpose.
15	So it is a thing that can technically
16	be done, but it relies on the level of sophistication
17	of the information to begin with, which exists in
18	varying degrees across the Province, I would venture.
19	MR. DOODY: I read this to be a
20	question about basic information, not that
21	sophisticated.
22	So you would say 'is the complaint
23	about 123 Main Street that such and such. Action
24	taken. Resolved. Enforcement order issued.' Whatever

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1	I did not read this as being open up
2	the entire file, because that is accessible through
3	MFIPPA, subject to the exemptions like personal
4	information and solicitor-client privilege and
5	litigation privilege and things of that nature.
6	But this, as I read the question, was
7	for much more basic information.
8	MS. BOROOAH: If I just might say
9	that it requires some kind of windowing process or re-
10	adjusting the system so only the information required
11	is revealed and the rest is protected, because that is
12	not the way the records are created today.
13	And I don't want you to think these
14	things are done just with the snap of your fingers,
15	because they are not.
16	It takes a fair amount of work to post
17	information from files.
18	MR. DOODY: Right.
19	Question number 8, and this requires
20	some explanation.
21	The question is: "The <u>Occupational</u>
22	Health and Safety Act currently provides for certain
23	obligations on employers to provide notice to the

Ministry of Labour where a person is critically injured

or killed at a workplace or a person is disabled from

performing his or her work or requires medical attention because of an accident, explosion or fire at a workplace."

Other than that though, those are the extent of the notice of obligations.

"Should they be expanded to include situation of imminent danger, accident or injury. In addition, should an employer be required to report to the Ministry of Labour any health and safety recommendation made by a joint committee or a health and safety representative which is not followed and may lead to critical injury?"

The last question arose as a result of evidence we heard that there were a number of complaints made by employees in the library which was in the mall, the Elliot Lake's public library was in the mall and over a number of years there had been complaints of health, significant health issues arising from the mould created by the incessant leaks.

And on more than one occasion, the Joint Health and Safety Committee, which is mandated by the Statute, made a recommendation that the leaks be fixed.

That's a recommendation to the employer, which was either the public library board or

1	the City, depending on the way you look at it, they
2	were at least paid by the City and on the City payrolls
3	and the Joint Health and Safety Committee was composed
4	of employer reps from the City and the board, and the
5	library board, and employee reps from both the City and
6	the library.
7	So the recommendations were made that
8	the leaks be fixed.
9	The City had it in its power to issue
10	an order requiring that the leaks be fixed. Didn't do
11	it.
12	And this happened on a number of
13	occasions.
14	The MOL was never notified.
15	So the MOL did not know what could
16	have been significant health issues for which the JHSC
17	had made a recommendation and nothing had been done
18	about it.
19	So if the MOL had known, the MOL could
20	have investigated and made an order of its own that
21	then would have had to be enforced.
22	And so the question is: is this a gap
23	in the law that needs to be filled?
24	Obviously one answer is the employee

is perfectly capable of taking it up the ladder himself

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1	or herself and saying to the MOL this is what happened
2	and nothing was done.
3	But there may well have been concerns
4	about ramifications on their own job situation as a
5	result of doing that.
6	So ought that to be done?
7	I am not sure whether I should start
8	with the MOL? But I will.
9	Ms. Wharton-Szatan, what are your
10	views?
11	MS. WHARTON-SZATAN: The Ministry's
12	current position is that we do not believe that there
13	needs to be any expanded notification requirement under
14	those provisions of the Act that you have spoken about.
15	Under part V of the Occupational
16	Health and Safety Act there are two mechanisms
17	currently in place that workplace parties can enact to
18	address dangerous or eminent situations where they
19	believe their health and safety is at risk.
20	Under section 43 of the Act, a worker
21	can enact the right to refuse if they believe that
22	himself, herself or another worker may be at risk.
23	And there are conditions set out under
24	43 under which that trigger applies.

Once the worker believes that, he

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1	should notify his supervisor; that is actually detailed
2	in the Statute.
3	The supervisor would work with the
4	health and safety representative, the certified health
5	and safety representative.
6	That certified person would have had
7	some training, that's why they are certified, and would
8	attempt to resolve the situation that the worker has
9	brought to their attention.
10	If they are not able to resolve it,
11	then of course they can contact the Ministry of Labour
12	who would then investigate and attempt to resolve the
13	circumstance.
14	And the inspector could enlist the
15	support of our specialized professional staff to help
16	bring resolution.
17	So that supports the internal
18	responsibility.
19	The first part promotes self-reliance
20	within the workplace because they know their workplace
21	better.

The second mechanism is the work stoppage, which is under clauses 44 to 46 of the Act where there can be a unilateral work stoppage a certified member, or by both certified members where

they believe that a process can put himself, herself or another worker in imminent risk and they can shut it down and do their internal investigation.

So once again it is the internal workplace parties that do the first part of the investigation. We call that stage 1.

And if they can't reach resolution then the Ministry of Labour would be engaged and the inspector, if needed the support staff of engineering or a hygienist or ergonomist attempt to bring resolution to the situation.

So those two mechanisms are already entrenched in legislation.

We have guidance material on our website, we have guidance documents for the Joint Health and Safety Committee, so they are aware of what their rights and responsibilities are. There are safe work health and safety associations available to provide additional training for people if they are not familiar with those sorts of mechanisms available to them in the legislation.

With regards to the Joint Health and Safety Committee, they have a right to put forth a recommendation to the employer.

And within 21 days if they do not get

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a reply, they can forward that concern to the Ministry of Labour for further investigation.

So there is a mechanism for that as well already entrenched in the legislation.

MR. DOODY: But the problem is, and I understand the genesis of this question, to do either of those things on the part of the employee, to either make a formal complaint to the MOL or say 'no, I am going to stop work', requires a significant amount of internal fortitude.

You have to be a strong person to do that because there is a potential for this to be viewed with less than enthusiasm by the employer.

And the Joint Health and Safety

Committee exists in order to make recommendations to
the employer.

The employer is then, as the legislation presently exists, there is no compulsion to comply with those recommendations, the employer can simply say 'no, I'm not going to comply'.

Then the JHSC itself, or an employee, can elevate it to the MOL.

But what is the harm in requiring the employer to affectively justify a refusal to follow the recommendation of the Joint Health and Safety Committee

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where the employer is legitimately of the view that the recommendation ought not to be followed.

In other words, why do we leave the enforcement right out of the hands of the MOL, if you do not have an employee who has the internal fortitude to take it upstairs himself or herself?

What is the public policy reason that justifies that?

MS. WHARTON-SZATAN: It is part of the internal responsibility system, the foundations of the Occupational Health and Safety Act, where the workplace parties are more knowledgeable about their workplace, and they each have a role in which they play ensuring that the workplace is safe.

So it's important to afford the workplace parties an opportunity to address their concern and there are protections in the legislation, such as the prohibition for reprisal, section 50, which if a worker does feel threatened for bringing a health and safety concern to their employers attention, they can file a complaint with the OLRB or they can work with the -- if they have a union they can file a grievance.

So that mechanism is in place to protect the workers if they feel threatened.

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But I think the foundation, the principles of the legislation being the internal responsibility system, is supported by using that

And it has worked historically.

Does anybody else have a MR. DOODY:

The OBOA would like to just make some clarification once again, in the situation of what is deemed unsafe in regards to a structure, we feel that responsibility falls to the

Currently I know that the Ministry of Labour and chief building officials work hand in hand in regards to enforcement and we just would encourage the continued participation in regards to ensuring places are safe, but would like to make the distinction that if a building is perceived as potentially unsafe that there should be a communication from the MOL back to the chief building official.

MR. DOODY: Would it be appropriate for the MOL to issue an order to an employer who owns a building to make it structurally safe?

> I would agree in a similar MR. SHAW:

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1	manner that a property standards officer can issue an
2	order and follow up on their end on the property
3	standards side, as well the MOL could follow up to make
4	sure that there is a safe work place in addition
5	relying on the building official to deal with the
6	unsafe structure.
7	So I think
8	MR. DOODY: But the MOL has
9	engineers, including structural engineers, on staff.
10	We heard evidence from them and about
11	them.
12	There is a provincial engineer, who in
13	fact is a structural engineer.
14	There is a number of regional
15	engineers across the Province who a number of whom are
16	structural engineers.
17	There are other kinds of engineers.
18	And it is in their bailiwick and in
19	their mandate to act as inspectors and enforcement
20	officers under the Occupational or Health and Safety
21	Act. That's what they do.
22	So they have the expertise.
23	Are you saying it is inappropriate for

the MOL to make an order under their own legislation?

MR. SHAW: I believe the MOL can make

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1 the order and use their expertise in determining 2 whether it's unsafe under their Act. What I am stating is that the 3 professional engineer that is employed either by MOL or 4 5 by the owner of the building could then involve the building department in addition to their own actions. 6 7 MR. DOODY: Ms. Wharton-Szatan? 8 MS. WHARTON-SZATAN: Yes, that is 9 exactly what I would say. 10 That the Minister of Labour inspector 11 would reach out to the chief building official to 12 address structural issues if a building -- or a 13 workplace, in general. 14 MR. DOODY: Does anybody want to 15 comment on either of these questions about whether the 16 notice of obligation should be expanded to include 17 situations of eminent danger, accident or injury or 18 whether there ought to be an obligation on the employer 19 to tell the MOL that it had decided to disregard a 2.0 recommendation by the Joint Health and Safety 21 Commission? 22 -- (No response) 23 MR. DOODY: That takes us through the

questions, except for your top two or three.

And our plan at this stage is to just

1	break for a couple of minutes.
2	I believe Mr. Cassan, counsel for the
3	City of Elliot Lake, has some questions that he would
4	like to ask over the conference call phone.
5	So we will just take a couple of
6	minutes while that gets set up.
7	A SHORT PAUSE
8	MR. DOODY: We have on the phone Mr.
9	Paul Cassan, counsel for the City of Elliot Lake, who
LO	has some questions that he would like to put to you.
L1	Go ahead, Paul.
L2	You notice I said "go ahead" and not
L3	"go away" like yesterday?
L 4	MR. CASSAN: But we know what you
L5	really feel.
L 6	MR. DOODY: Not at all.
L7	MR. CASSAN: The first thing I would
L8	like to say on behalf of my client, the City of Elliot
L 9	Lake, is that we strongly support the recommendation
20	for the periodic inspection and reporting with respect
21	to commercial and industrial buildings.
22	We do not think that it is appropriate
23	to rely on the concept of imminent danger or the
24	trigger for either inspection or report, because I

think that is the state of the law as it is now, and

1	that clearly did not work in this case.
2	So my questions are based on the
3	theory that you are going to make a recommendation, Mr.
4	Commissioner for some periodic reporting and
5	inspections.
6	So my first question is: if all of the
7	engineering reports that are required to be obtained
8	and disclosed
9	Let me rephrase that.
10	If reports are required to be obtained
11	and disclosed for all commercial and potentially
12	industrial buildings, does that not actually level the
13	real estate playing field and at the same time promote
14	safety, fairness and good maintenance?
15	MR. DOODY: Have you got an
16	individual to whom you would like to direct that
17	question?
18	I have an idea who might be the first
19	person to respond.
20	But do you want me to direct it, Mr.
21	Cassan?
22	MR. CASSAN: If you would, Mr. Doody.
23	MR. DOODY: Mr. Froebelius?
24	MR. FROEBELIUS: So the question is
25	would it level the playing field by having a public

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1	disclosure requirement for the reports.
2	Is that accurate?
3	MR. CASSAN: It is twofold, both a
4	public disclosure requirement and also simply the fact
5	that the reports are mandated.
6	So every building owner has to have
7	these reports.
8	MR. FROEBELIUS: I guess it would,
9	but I think it would also accomplish the same thing by
10	making it that the report has to be done, but it does
11	not necessarily have to be disclosed to the public,
12	i.e. we have referenced the Fire Code a number of times
13	over the last couple of days, so again, as a building
14	owner I have to do my annual fire inspection and that
15	certificate has to be available to the City or the fire
16	inspector.
17	And if I don't comply, the penalties
18	are fairly severe, so pretty severe to the point where
19	they can shut the building down et cetera.
20	I guess I am having a hard time making
21	the leap from public disclosure versus it just is a
22	requirement that has to be done.
23	I think building owners would

certainly embrace the annual inspection if it was still

something that would be kept in their domain.

1	And I still think that would level the
2	playing field, if you will, so that all owners would
3	comply.
4	MR. DOODY: Does anybody else want to
5	comment on that question?
6	Mr. Braithwaite?
7	MR. BRAITHWAITE: I have a comment.
8	I kind of like the idea of an annual
9	inspection.
LO	I think that responsible building
L1	owner and management people would not be overly
L2	concerned with that. I think they would support that
L3	idea.
L 4	So I am basically agreeing with my
L5	confrere here.
L 6	MR. DOODY: Anybody else?
L7	(No response)
L 8	MR. DOODY: Your second question,
L 9	Paul?
20	MR. CASSAN: On that answer I wonder
21	if I might, I just remember Mr. Aubé in his submissions
22	saying that Canadians are a trusting lot and certainly
23	I am thinking that if there is public disclosure of the
24	report, it gives the public the opportunity, whether
25	they use it or not, to valuate the building that they

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1 are going into and figure out, as you said Mr. Doody, 2 by shedding light on the issue whether or not the 3 system is working. Second question. 4 5 I heard some reluctance with respect 6 to reports by engineers being filed because there may 7 be things in the report that are proprietary that would 8 suggest or pause at the question: Should we design a 9 report the engineer would produce, dealing only with 10 structural integrity issues and then disclose that specific purpose report to protect legitimate 11 12 commercial interests? Who would like to propose 13 MR. DOODY: 14 an answer to that question? Mr. Froebelius? 15 16 MR. FROEBELIUS: So what you are 17 suggesting is that there could become a standard report 18 that deals with only the structural aspects of a 19 building.

I think that's a good idea.

In fact, I think the market would end up developing such a report if in fact we got to the point where there was an annual inspection required.

It would be probably the most expedient way to do something like that, so there is an

1	agreed upon standard across the board, like there is a
2	Fire Code, fire alarm system testing report that is
3	pretty much accepted and adopted as well.
4	MR. DOODY: I think there is going to
5	be a discussion of that tomorrow, Paul, when the PEO
6	and others are around the table.
7	Ms. Lewis, you were going to say
8	something?
9	MS. LEWIS: That might be something
10	that you want to ask the engineers tomorrow.
11	MR. DOODY: Right. You and I are in
12	agreement as always.
13	MS. LEWIS: As always. And no
14	misunderstanding.
15	MR. DOODY: Do you have another
16	question, Paul?
17	MR. CASSAN: I do. And this is more
18	for the municipal folks.
19	Would the production of an engineering
20	report about buildings, commercial, industrial or both,
21	not make the CBO's job actually more easier?
22	MR. DOODY: Mr. Shaw?
23	MR. SHAW: If the CBO received the
24	reports from engineers dealing specifically with
25	deficiencies or perceived unsafe, it would trigger the

1	requirement for that CBO to act.
2	So I do believe it would be
3	clarification that the CBO would need to take action at
4	that point and make the job and decision easier.
5	MR. DOODY: Ms. Borooah, I think I
6	saw you leaning forward.
7	Were you wanting to say something?
8	MS. BOROOAH: Our submission is that
9	and I am a little disturbed by the adoption of the
10	annual report idea, which I think in most cases would
11	not be required to be annual, there might be some
12	building types or situations where annual may be
13	appropriate.
14	MR. DOODY: There was some discussion
15	of the issue of the term or the periodicity of the
16	report.
17	MS. BOROOAH: That's right.
18	So let's say the periodic report.
19	Our submission is that it would not
20	make the job of the CBO easier if all such reports were
21	submitted and filed.
22	We have suggested that only those
23	reports that recommend remedial action should be filed
24	because there would be an obligation for us to

consider, we believe, such reports, should they all be

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1 submitted.

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And then you might ask the question well, what if they are not submitted and how do you know they are not submitted, and what action would you have to take if they were not submitted?

All of those questions would arise if they were required to be submitted for each and every building.

We were asked by Mr. Bélanger at the beginning do we have an inventory of all buildings of various types?

And the answer is no.

So even the question of whether you have received all the reports you are required to receive would be a difficult question to answer in many jurisdictions.

So I would say no, that the obligation as we and AMO and Large Municipalities Chief Building Officials have submitted, that the obligation should be on the owner to have such reports and investigations undertaken. They should be accessible to the CBO where the CBO requests them.

The CBO should be able to ask for them if they are not necessarily required in the period in question or even for the type of building; and that

1 they be submitted to the CBO only where remedial action 2 is required. 3 MR. DOODY: Mr. Huxley? 4 MR. HUXLEY: I would confer with that 5 and I would submit that the public would be better 6 served where the onus is on the owner, but not just the 7 owner, but the professional that the owner has retained 8 so that the professional engineer would have an 9 obligation to provide a report where there is remedial 10 action that is being required to the chief building official. 11 12 I think that process would be much 13 more efficient and have a quicker response time to 14 allow the building officials to respond in better 15 response time. 16 MR. DOODY: Anybody else? 17 -- (No response) 18 MR. DOODY: Paul, do you have another 19 question? 20 MR. CASSAN: No, those are my 21 questions and I agree that we should look at the issue 22 of the term, because I think buildings generally are 23 fairly slow moving, so perhaps more than a year makes 24 sense.

MR. DOODY:

Thank you.

1 So moving on to the last question, 2 which is in the material, it is the top five 3 recommendations. Many of the people or the bodies that 4 5 are here represented today were here yesterday, so some of you chose to combine your top five on both days. 6 7 Keeping that in mind, if you could 8 share with us your top 2 or 3 without repeating what 9 you told us yesterday. 10 I wonder if we could just go around 11 the table, starting with Mr. Froebelius? 12 MR. FROEBELIUS: 13 discussions today the number one that our group would 14 have would be the further study. 15 16 but to investigate further the concept of the annual 17 inspection, the maintenance of the record of that 18 annual inspection and then the frequency of an 19 inspection that would involve a professional engineer. 2.0 21 owner does their own inspection annually, keeps record 22 of that inspection and then perhaps every three years or five years the requirement to involve a professional 23 24 engineer, do the inspection, would be added. 25

After our I think it is a fairly narrow study, So it could be a combination of the But I think, you know, our industry, if there was a -- coming out of this Commission if there was another group formed to explore that further, I think you would get great feedback from our entire industry and they could come up with a great method to do that.

I think that would be our number one.

And then we did not talk about this one yesterday, but I think going into tomorrow, the one issue that came out when we circulated the questions here as well was just the fact that the engineer involved with the inspections on this project, there was some question as to their expertise and viability and whether in fact they were up to date on their licensing, et cetera.

If there was some broader database that would be available to, much as you have argued throughout the past two days, is there a better way to be able to check on who you are hiring as your professional and should that database be available to municipalities even when they are looking at reports and property standards issues.

MR. DOODY: Thank you.

Ms. Wharton-Szatan?

MS. WHARTON-SZATAN: Yes.

I think I would just like to state

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that the Ministry of Labour would be interested in exploring information agreements or ways in which it could share information with chief building officials or Municipalities on observations they make when they go into workplaces that may be structural in nature.

And this could occur through our Regulatory Modernization Act, section 9(1) which allows the inspector to advise another regulatory body of information that may be relevant to enforcement of their regulations that they have in place.

So we would be interested in exploring that, and just to say that we look forward to participating in the Policy Roundtables.

THE COMMISSIONER: I must admit that I don't know what the Regulatory Modernization Act is about.

Just give me a very brief description of its purpose and its girth.

MS. WHARTON-SZATAN: So that legislation was put in place through our -- historically back through our -- there was a secretariat that was introduced to look at ways in allowing enforcement ministries to work together and exchange information.

So within it there is a clause that

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allows for a heads up provision where if they observe - just say it is the Ministry of the Environment, they
notice that there is a spill, then the inspector who is
going in there would know that that spill should be
reported, could pick up the phone and advise the
Ministry of Environment inspector.

So that legislation framework is there and it is something we could use as a way of introducing information sharing agreements that we have already in place, and we have that with TSSA, ESA, so we could also use that tool as a way of sharing information or things that we see that would be, for example, under the purview of the chief building officer.

THE COMMISSIONER: Thank you very much.

MR. DOODY: Mr. Braithwaite?

MR. BRAITHWAITE: Yes.

I am again speaking in the context of malls, it may not necessarily apply to all high-rise buildings, but in the one store, two store, three store malls and one of the big issues in this country, particularly in the northern part of the country is snow roads and monitoring snow roads, and that can often impact structurally what happens or does not

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1	happen.
2	So that in some municipalities it is
3	very well regulated and it is very front and centre, in
4	other municipalities it is not.
5	I think that is worth noting from a
6	public risk point of view.
7	The other thing as well, the
8	structural component of a building is one of the most
9	important divisions, if you will, of construction.
LO	And it seems to me that we do not use
L1	peer review as part of the technique of the public
L2	sector staying closer to the potential problems.
L3	You had suggested earlier using that
L 4	technique as a follow-up in terms of some of the
L5	reviews that have to be done.
L 6	So I would throw that as two ideas for
L7	consideration.
L8	MR. DOODY: Ms. Lewis?
L 9	MS. LEWIS: From a provincial
20	perspective, there have been a number of really good
21	ideas talked about over the past couple of days, a lot
22	of different avenues that could be pursued.
23	I think I would be looking at maybe

having the Commission consider, as a whole, of

everything that was taught how can those pieces that

1	were discussed and explored, how could they work
2	together to achieve the end goal.
3	Each component on their own has
4	different powers and authorities, but do we need to go
5	full scope on everything.
6	So if you are looking at periodic
7	inspection, how can that work with public reporting and
8	registers?
9	And if you are going to look at
LO	registers, what is the best mechanism to enable those
L1	things that are important not to get lost on a register
L2	that includes everything?
L3	I think that is probably where I would
L 4	like the consideration to be foremost.
L5	In addition, making sure in your
L 6	recommendations back to government, clearly defining
L7	the responsibilities of all the responsible parties.
L8	So it is
L 9	MR. DOODY: Who does what?
20	MS. LEWIS: That's right.
21	Who does what?
22	Who has the best powers and
23	authorities to get where we want to go?
24	And in those type of things, like when

you are talking about registries, how to do it, like

1	what gets registered?
2	Is it the building title itself, like
3	the name of the building?
4	Because I know the building I live in
5	or I work in have two addresses, so how are you going
6	to define it?
7	Is it the name of the building?
8	Is it the name of the building owner?
9	If it is going to be a provincial
10	registry, that could connect across properties that are
11	owned.
12	Those types of consideration to
13	actually achieve what the intended outcome is.
14	MR. DOODY: Thank you.
15	Mr. Stein?
16	MR. STEIN: Just one recommendation
17	and I had mentioned it earlier.
18	We are just supportive of using
19	complaints more effectively as a mechanism for focusing
20	inspection and enforcement resources on high risk areas
21	or properties in this case.
22	MR. DOODY: Thank you.
23	Ms. Borooah?
24	MS. BOROOAH: Today I would choose to
25	emphasize yesterday the priorities were related to what

changes should be made to the regulatory system and the role of the enforcement officials largely in that leading towards the idea of a periodic review that would be subject to review by the regulators, where the situation warrants that.

To focus more on the other entities involved and the two additional recommendations which may relate more to tomorrow's discussion than today are around the role of the professionals and the preparation of the report and how they would be shared amongst themselves, I think warrants some attention.

As well, I think some improvements.

We had a suggested improvement to the process around property sales, which was mentioned as one of the three key times in a property's history, could be enhanced around the information sharing through the practice, whether it is governed as you suggested, Mr. Doody, by legislation or by practice in the industry I think remains to be seen.

But we think especially in the context of a requirement to require, if this was enacted, a periodic structural review that some evidence of how that was completed could be part of the disclosure, along with other documents or history of the property in the possession of the municipality.

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1	MR. DOODY: Thank you.
2	Mr. Huxley?
3	MR. HUXLEY: Yes, thank you Mr. Doody
4	and Mr. Commissioner.
5	On behalf of AMO and Ms. Turner, the
6	Director of Policy, thank you for allowing us to
7	participate in this phase, and we will be observing the
8	next couple of days.
9	I am going to repeat the
LO	recommendation that we relayed yesterday, because I
L1	think this recommendation, as we have seen from today's
L2	discussion, shapes the discussion that we had today,
L3	and that was the recommendation for risk assessment of
L 4	periodic mandatory inspections.
L5	And AMO's position that that should
L 6	fall upon the owner and/or the owner's profession.
L7	That then turns into our second area
L8	of discussion we had today, what I thought was a very
L 9	good discussion, on public registries.
20	The first was the public registry for
21	engineering reports and the second was on by-law
22	complaints.
23	Municipalities are not opposed to such
24	registries per se.

Municipalities are the closest level

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of government to its residence and the pillars of transparency access and accountability are what guide municipal governance in Ontario.

More and more municipalities are providing services online and making it more accessible.

The concern that we have, hopefully relayed today and hopefully will cause for some further consideration, would be the need for a public registry and the utility of a public registry.

It is not clear that there is any public benefit and again, looking at resources, particularly municipal resources, where they should be and can be better devoted.

I pause to note that we have a variety of municipalities in AMO's association, over 400, ranging from northern to southern, urban, rural, small, medium and large.

And Elliot Lake that we have talked about a lot at this Commission, obviously, would be considered a medium-sized municipality.

So that puts things in perspective of the range of municipalities that we are dealing with.

So I would ask that certainly I think it was a helpful discussion, but there are certain

1	considerations that we have with respect to such
2	registries and utility resources and work.
3	It is also shaped by the
4	municipality's concern for liability.
5	I understand that we have provided the
6	Commission with AMO's position paper on the case for
7	joint and several liability reform in Ontario.
8	And I hope that that may assist you,
9	Mr. Commissioner, in understanding the foundations that
LO	municipalities have, not only from a resource
L1	perspective, but from liability considerations where
L2	municipalities may be brought into a particular realm
L3	and have unintended exposures based on the existing
L 4	regime.
L 5	Thank you, very much.
L 6	MR. DOODY: May I ask you, Mr.
L7	Huxley, about or AMO's concerns about central
L 8	registries.
L 9	I understand your comments apply to
20	registries of complaints made to the municipality.
21	Would they also apply to periodic
22	reports made by engineers pursuant to the regime we
23	discussed yesterday?
24	MR. HUXLEY: Yes, those concerns would

apply certainly if the registry was contemplated to be

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1	maintained by a municipality.
2	MR. DOODY: Right.
3	But if not?
4	MR. HUXLEY: If not then the question
5	would be to what extent would a municipality be viewed
6	by would be plaintiffs in the courts as to 'well this
7	was available publicly, chief building official, why
8	didn't you look at it?'
9	So that is one consideration.
10	I think that the former is more of a
11	concern than the latter.
12	MR. DOODY: And the latter, your
13	position is you would rather not know?
14	MR. HUXLEY: I am not suggesting not
15	know.
16	I think the chief building official
17	needs to know those opinions and reports from a
18	professional engineer that are suggesting there is a
19	need for remedial work.
20	MR. DOODY: So those would be given
21	to him or her?
22	MR. HUXLEY: Yes.
23	But simply a vast body of reports
24	being held somewhere.
25	There is a concern as to what reliance

1	governments need to proactively review such a registry,
2	even if it is not maintained by government.
3	MR. DOODY: Mr. Shaw?
4	MR. SHAW: First of all, I would like
5	to thank Mr. Doody and Mr. Commissioner for allowing
6	the Ontario Building Officials Association to
7	participate.
8	I am going to break it down to one
9	recommendation, and I have echoed this several times
10	today.
11	We would like to see the establishment
12	that a qualified person who inspects a building and
13	notes areas of concern in regards to structural safety
14	to be required to inform the chief building official.
15	MR. DOODY: Thank you.
16	I believe that wraps up the day,
17	subject to Mr. Commissioner and any remarks he might
18	make.
19	MR. COMMISSIONER: Yes.
20	Hopefully ladies and gentlemen my
21	biases and predispositions may not have been too
22	apparent.
23	You have to understand where I come
24	from.
25	As a Judge of course every official

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1	action that I take, every decision I make, every
2	interim disposition that I am engaged in is subject to
3	full and complete public scrutiny.
4	The other thing is that it is not one
5	of my responsibilities to be fiscally responsible.
6	And that arises out of the
7	independence of the Judiciary.
8	I thank you all very much for making
9	me understand your realities.
10	I thank you for your time.
11	As I have said before, thank you for
12	your expertise, your wisdom and your advice.
13	I repeat that I, as well as all of the
14	members who assist me are acutely aware of the
15	necessity of our being practical, relevant, effective
16	and realistic in whatever recommendations that we make.
17	I repeat that your presence here today
18	is a most valuable public service for which I thank you
19	very much.
20	And for those of us who are leaving, a
21	safe trip home.
22	For those who are remaining tomorrow,
23	we will look forward to seeing you again at 9 o'clock
24	tomorrow morning

Thank you all very much.

The Roundtable Closed at 2:32 p.m. to resume on

November 20, 2013 at 9:00 a.m. for Roundtable No. 3

Certified Correct:

M. Bolduc, C.C.R.